

NTPC LTD
USSC, VDC-RAIPUR
VENDOR ENLISTMENT FOR O&M WORKS PACKAGE ON PAN NTPC BASIS

A CEG Details	
CEG No.	MGR-99
CEG DESCRIPTION	“Maintenance of MGR Rail Track (category-II)”
RESPONSIBILITY CENTRE	VEC(USSC-Raipur)
B	<p><u>TECHNICAL Qualifying Requirement</u></p> <p><u>TECHNICAL CRITERIA:</u></p> <p>The applicant should have executed the works of:</p> <p>B.1: “<u>Track maintenance for a continuous period of at least one year as per Indian Railway Permanent Way Manual (IRPWM)</u>”, within the preceding five (5) years from the last date of submission of application.</p> <p>OR</p> <p>B.2: “<u>Track Linking’ / ‘laying’/ ‘Renewal’/ ‘erection’ / ‘augmentation’ of Broad-Gauge Railway Track as per Indian Railway Permanent Way Manual (IRPWM) which should have been in successful operation for at least one (1) year</u>”, within the preceding five (5) years from the last date of submission of application.</p> <p>Notes for Technical criteria:</p> <ol style="list-style-type: none"> 1. The word executed means that the applicant should have executed the work within preceding 05 (five) years prior to the date of application even if the contract has been started earlier and/or is not completed/closed. 2. Reference work executed by the applicant as a sub-contractor may also be considered provided that the certificate issued by the main contractor is duly certified by owner specifying the scope of work executed by the sub-contractor in support of qualifying requirements. 3. <i>The minimum executed value against the submitted credential works / similar works (as defined in section ‘F’) should be 3.2 Cr within five (5) years from the last date of submission of application.</i>

C	<p>Documents required in support of meeting Technical Qualifying Requirements stipulated at (B) above:</p> <p>Relevant PO / Work Order / Agreement copy and Client's Completion Certificate/RA Bill/Final Deviation Statement.</p>
D	<p>FINANCIAL CRITERIA</p> <p>1. The Net Worth of the applicant shall not be less than 100% of the applicant's paid up share capital as on the last day of the preceding financial year. In case the Applicant meets the requirement of Net Worth based on the strength of its Subsidiary(ies) and/or Holding Company and/or Subsidiaries of its holding companies wherever applicable, the Net Worth of the Applicant and its Subsidiary(ies) and/or Holding Company and/or Subsidiary(ies) of the Holding Company, in combined manner should not be less than 100% of their total paid up share capital. However individually, their Net worth should not be less than 75% of their respective paid-up share capitals.</p> <p>Net worth in combined manner shall be calculated as follows:</p> $\text{Net worth (combined)} = (X1+X2+X3) / (Y1+Y2+Y3) \times 100\%$ <p>Where X1, X2, X3 are individual Net worth which should not be less than 75% of their respective paid-up share capitals and Y1, Y2, Y3 are individual paid up share capitals</p> <p>2. In case the applicant is not able to furnish its audited financial statements on stand-alone entity basis, the unaudited unconsolidated financial statements of the applicant can be considered acceptable provided the applicant further furnishes the following documents for substantiation of its qualification.</p> <p>A) Copies of the unaudited unconsolidated financial statements of the applicant along with copies of the Audited consolidated financial statements of its Holding Company.</p> <p>B) A certificate from the CEO/CFO of the Holding Company, as per the format enclosed in the bidding documents, stating that the unaudited unconsolidated financial</p>

		<p>statements form part of the Consolidated Annual Report of the company.</p> <p>3. In cases where audited results for the last preceding financial year as on the date of Techno-Commercial bid opening are not available, the financial results certified by a practicing Chartered Accountant shall be considered acceptable. In case, Applicant is not able to submit the Certificate from practicing Chartered Accountant certifying its financial parameters, the audited results of three consecutive financial years preceding the last financial year shall be considered for evaluating the financial parameters. Further, a Certificate would be required from the CEO/CFO as per the format enclosed in the bidding documents stating that the financial results of the Company are under audit as on the date of Techno-Commercial bid opening and the Certificate from the practicing Chartered Accountant certifying the financial parameters is not available.</p> <p>Notes for Financial criteria:</p> <ol style="list-style-type: none"> 1. Other income shall not be considered for arriving at annual turnover. 2. "Holding Company" and "Subsidiary Company" shall have the meaning ascribed to them as per Companies Act of India, in vogue. 3. Net worth means the sum of the paid-up share capital and free reserves. Free reserve means all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further, any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus.
E	<p>Other Documents to be submitted</p>	<p>i. Audited balance sheet including Profit & Loss statement for the previous three completed financial years reckoned from the last date of submission application. In case, the audited results for the preceding financial year is not available, certification of financial statements from a practicing-chartered accountant is to be uploaded. In case, applicant is not able to submit the certificate from practicing</p>

		<p>chartered accountant certifying its financial parameters, the audited results of the three consecutive financial years preceding the last financial years shall be considered for evaluating the financial parameters. Further, a certificate would be required from the CEO/CFO stating that the financial results are under audit as on date of application and certificate from the practicing chartered certifying the financial parameters are not available.</p> <p>ii. Udyam Registration / NSIC / SSI / MSME registration certificate and PF & GST/Service Tax registration certificate.</p> <p>iii. PAN, PF and GST registration certificates</p> <p>iv. NTPC may ask other / shortfall documents as necessary during evaluation</p>
F	Similar Works	<p>Similar works means “Maintenance / laying & linking/erection/ renewal/ augmentation of Broad-gauge rail tracks as per Indian Railway Permanent Way manual”, within the preceding five (5) years from the last date of submission of application.</p>
G	Execution Capability Criteria for Enlistment	<p>1. The minimum threshold limit for Execution Capability (EC) and Average Annual Turnover (AATO) of Enlisted Vendors shall be Rs.4,00,00,000 (Rs. Four Crores only).</p> <p>2. If any applicant does not submit the required documents so that their Execution Capability/ AATO equals or exceeds Rs.4,00,00,000 (Rs. Four Crores only), such applicant shall not be considered for enlistment even if they meet the qualifying Requirement (QR) of subject Enlistment.</p> <p>3. The Execution Capability (EC) based upon Technical Criteria will be defined / calculated as under:</p> <p style="padding-left: 40px;">Execution Capability (EC) = 1.25 x * Max Value of Work Executed in a Single PO / Work Order, as established during evaluation of Applications</p> <p style="padding-left: 40px;">* Illustration(s):</p> <p style="padding-left: 40px;">a) Maximum value of work executed in single PO of applicant is Rs 3.2 Crore. Then, his execution capability (EC) will be considered as 1.25*3.2=Rs. 4 Crores.</p>

		<p>b) Maximum value of work executed in single PO of applicant is Rs 20 Crore. Then, his execution capability (EC) will be considered as $1.25 \times 20 = \text{Rs. } 25$ Crores.</p> <p>c) Maximum value of work executed in single PO of applicant is Rs 40 Crore. Then, his execution capability (EC) will be considered as $1.25 \times 40 = \text{Rs. } 50$ Crores.</p> <p>d) Maximum value of work executed in single PO of applicant is Rs 45 Crore. Then, his execution capability (EC) will be considered as Rs. 50 Crores.</p> <p>e) Maximum value of work executed in single PO of applicant is Rs 100 Crore. Then, his execution capability (EC) will be considered as Rs. 50 Crores.</p> <p>4. Thus, as illustrated above, even if an Applicant / Vendor submits PO of executed value greater than Rs 40 Crore, his execution capability (EC) based on Technical Criteria will be limited and considered upto Rs 50 Crore.</p> <p>5. Upon completion of the enlistment process, the enlisted vendor(s) shall be considered for execution of the value of work from Rs. 4 Crs. to 50 Crs under a future tender as per their qualification established during the process of enlistment.</p> <p>Notes for Execution capability:</p> <ol style="list-style-type: none"> 1) For calculating the execution capability, the 'Executed Value' of only those BOQ Items shall be considered which are pertaining exclusively to the technical qualifying requirement of B.1 or B.2 or Both. 2) The word "Executed" means the applicant should have achieved the criteria specified in the qualifying requirements within the preceding Five (5) year period even if the contract has been started earlier and/or is not completed/ closed. 3) 'Executed Value' means basic value (excluding taxes).
H	BRIEF SCOPE OF	<p>The brief scope of work will generally include: -</p> <ol style="list-style-type: none"> a) Through packing, Overhauling, Slack packing & Lifting of

	WORK	<p>MGR Rly Track.</p> <p>b) Points Overhauling, Packing & Lifting</p> <p>c) Curve Alignment, Track Hopper Maintenance, Removal of Spilled Coal.</p> <p>d) Writing & Painting, Drain Cleaning, Earth Work</p> <p>e) De-weeding.</p> <p>f) Renewal of Sleeper & Rails, Drilling, Cutting of Rails.</p> <p>g) Supervision of Work by PWI / P-way Supervisor.</p> <p>h) Inspection of Track by Key-man</p> <p>i) Trolley-man</p> <p>j) Night Patrolmen/Rain Patrolmen.</p> <p>k) Deep Screening,</p> <p>l) Material shifting</p> <p>m) Fabrication Work</p> <p>n) Curve Lubrication, ERC Lubrication, Fish plate lubrication</p> <p>o) Hand Point Oiling / Maintenance</p> <p>p) Manpower Supply - Blacksmith</p> <p>q) Rails & Sleeper Shifting for 1Km & 1/2Km</p> <p>r) Anticorrosive Painting of Rails</p> <p>s) Track Maintenance tools & equipments</p> <p>t) Other works as per the Indian Railway Permanent Way Manual(IRPWM)</p>
I	Quality Plan (if Applicable)	NOT APPLICABLE
J	Category of Enlistment	CATEGORY-II