



Bid Number/बोली क्रमांक (बिड संख्या): GEM/2024/B/5652823

Dated/दिनांक : 26-11-2024

**Bid Document/ बिड दस्तावेज़**

<b>Bid Details/बिड विवरण</b>	
<b>Bid End Date/Time/बिड बंद होने की तारीख/समय</b>	17-12-2024 16:00:00
<b>Bid Opening Date/Time/बिड खुलने की तारीख/समय</b>	17-12-2024 16:30:00
<b>Bid Offer Validity (From End Date)/बिड पेशकश वैधता (बंद होने की तारीख से)</b>	120 (Days)
<b>Ministry/State Name/मंत्रालय/राज्य का नाम</b>	Ministry Of Power
<b>Department Name/विभाग का नाम</b>	Na
<b>Organisation Name/संगठन का नाम</b>	Ntpc Limited
<b>Office Name/कार्यालय का नाम</b>	Cg
<b>Item Category/मद केटेगरी</b>	Handling ,Transport and Other Mining Services - Percentage quote based - Rate Contract for Transportation of Pond Ash from NTPC Tanda to NHAI UPEIDA and Other Road Projects
<b>Contract Period/अनुबंध अवधि</b>	1 Year(s)
<b>MSE Exemption for Years of Experience and Turnover/ अनुभव के वर्षों से एमएसई छूट</b>	No
<b>Startup Exemption for Years of Experience and Turnover/ अनुभव के वर्षों से स्टार्टअप छूट</b>	No
<b>Document required from seller/विक्रेता से मांगे गए दस्तावेज़</b>	Experience Criteria,Bidder Turnover,Certificate (Requested in ATC) *In case any bidder is seeking exemption from Experience / Turnover Criteria, the supporting documents to prove his eligibility for exemption must be uploaded for evaluation by the buyer
<b>Do you want to show documents uploaded by bidders to all bidders participated in bid?/</b>	Yes
<b>Bid to RA enabled/बिड से रिवर्स नीलामी सक्रिय किया</b>	No
<b>Type of Bid/बिड का प्रकार</b>	Two Packet Bid
<b>Time allowed for Technical Clarifications during technical evaluation/तकनीकी मूल्यांकन के दौरान तकनीकी स्पष्टीकरण हेतु अनुमत समय</b>	2 Days
<b>Estimated Bid Value/अनुमानित बिड मूल्य</b>	2000000000
<b>Evaluation Method/मूल्यांकन पद्धति</b>	Total value wise evaluation
<b>Arbitration Clause</b>	No

**Bid Details/बिड विवरण**

<b>Mediation Clause</b>	No
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**EMD Detail/ईएमडी विवरण**

Advisory Bank/एडवाइजरी बैंक	ICICI
EMD Amount/ईएमडी राशि	5000000

**ePBG Detail/ईपीबीजी विवरण**

Advisory Bank/एडवाइजरी बैंक	ICICI
ePBG Percentage(%) / ईपीबीजी प्रतिशत (%)	5.00
Duration of ePBG required (Months) / ईपीबीजी की अपेक्षित अवधि (महीने).	15

(a). EMD EXEMPTION: The bidder seeking EMD exemption, must submit the valid supporting document for the relevant category as per GeM GTC with the bid. Under MSE category, only manufacturers for goods and Service Providers for Services are eligible for exemption from EMD. Traders are excluded from the purview of this Policy./जेम की शर्तों के अनुसार ईएमडी छूट के इच्छुक बिडर को संबंधित कैटेगरी के लिए बिड के साथ वैध समर्थित दस्तावेज प्रस्तुत करने हैं। एमएसई कैटेगरी के अंतर्गत केवल वस्तुओं के लिए विनिर्माता तथा सेवाओं के लिए सेवा प्रदाता ईएमडी से छूट के पात्र हैं। व्यापारियों को इस नीति के दायरे से बाहर रखा गया है।

(b). EMD & Performance security should be in favour of Beneficiary, wherever it is applicable./ईएमडी और संपादन जमानत राशि, जहां यह लागू होती है, लाभार्थी के पक्ष में होनी चाहिए।

**Beneficiary/लाभार्थी :**

HOD

Sr. Manager/ AGM (Contracts & Materials) NTPC Limited, Unified Shared Service Centre, Central Procurement Group- Western Region-II Head Quarter, Plot No.-87, Sector-24, Atal Nagar Nava Raipur, Raipur, Chhattisgarh, Pin Code-492101 Tel. No.: 0771-2515402 / 0771-2515537  
(Ntpc Limited)

**MII Compliance/एमआईआई अनुपालन**

MII Compliance/एमआईआई अनुपालन	Yes
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**MSE Purchase Preference/एमएसई खरीद वरीयता**

MSE Purchase Preference/एमएसई खरीद वरीयता	Yes
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1. Purchase preference to Micro and Small Enterprises (MSEs): Purchase preference will be given to MSEs as defined in Public Procurement Policy for Micro and Small Enterprises (MSEs) Order, 2012 dated 23.03.2012 issued by Ministry of Micro, Small and Medium Enterprises and its subsequent Orders/Notifications issued by concerned Ministry. If the bidder wants to avail the Purchase preference for services, the bidder must be the Service provider of the offered Service. Relevant documentary evidence in this regard shall be uploaded along with the bid in respect of the offered service. If L-1 is not an MSE and MSE Service Provider (s) has/have quoted price within L-1+ 15% of margin of

purchase preference /price band as defined in the relevant policy, then 100% order quantity will be awarded to such MSE bidder subject to acceptance of L1 bid price. The buyers are advised to refer to the [OM No.1 4 2021 PPD dated 18.05.2023](#) for compliance of Concurrent application of Public Procurement Policy for Micro and Small Enterprises Order, 2012 and Public Procurement (Preference to Make in India) Order, 2017. Benefits of MSE will be allowed only if the credentials of the service provider are validated on-line in GeM profile as well as validated and approved by the Buyer after evaluation of submitted documents.

2. Estimated Bid Value indicated above is being declared solely for the purpose of guidance on EMD amount and for determining the Eligibility Criteria related to Turn Over, Past Performance and Project / Past Experience etc. This has no relevance or bearing on the price to be quoted by the bidders and is also not going to have any impact on bid participation. Also this is not going to be used as a criteria in determining reasonableness of quoted prices which would be determined by the buyer based on its own assessment of reasonableness and based on competitive prices received in Bid / RA process.

**Additional Qualification/Data Required/अतिरिक्त योग्यता /आवश्यक डेटा**

**Any Other Documents and Requirement to be mentioned by Buyer:**[1732621548.pdf](#)

**Scope of Work:**[1732621628.pdf](#)

**Terms and Conditions to be mentioned by Buyer for bidders to participate:**[1732621697.pdf](#)

**Handling ,Transport And Other Mining Services - Percentage Quote Based - Rate Contract For Transportation Of Pond Ash From NTPC Tanda To NHAI UPEIDA And Other Road Projects ( 1 )**

**Technical Specifications/तकनीकी विशिष्टियाँ**

Specification	Values
<b>Core</b>	
SOR Items	Rate Contract for Transportation of Pond Ash from NTPC Tanda to NHAI UPEIDA and Other Road Projects
<b>Addon(s)/एडऑन</b>	

**Additional Specification Documents/अतिरिक्त विशिष्टि दस्तावेज़**

**Consignees/Reporting Officer/परेषिती/रिपोर्टिंग अधिकारी**

S.No./क्र. सं.	Consignee Reporting/Officer/परेषिती/रिपोर्टिंग अधिकारी	Address/पता	Project based (to be kept as 1)	Additional Requirement/अतिरिक्त आवश्यकता
1	Amit Kumar	224238,Tanda Thermal Power Project P.O. VIDYUT NAGAR AMBEDKARNAGAR 224238 AMBEDKARNAGAR	1	<ul style="list-style-type: none"> <li>Base Value in INR (inclusive of all taxes) : 2000000000</li> </ul>

## **Buyer Added Bid Specific Terms and Conditions** / क्रता द्वारा जोड़ी गई बिड की विशेष शर्ते

### 1. **Buyer Added Bid Specific ATC**

Buyer Added text based ATC clauses

# ***NTPC LIMITED***

***(A Government of India Enterprise)***

***Buyer Added Bid Specific  
Additional Terms and Conditions  
(ATC)***

**UNIFIED SHARED SERVICE CENTRE, CPG-1, RAIPUR**

**CONTRACT & MATERIAL DEPTT**

**Rate Contract for Transportation of Pond Ash from NTPC Tanda to NHAI UPEIDA and Other Road Projects**

1. Additional Terms & Conditions (ATC) of this tender comprises the following documents:
  - a. Section-I: Notice Inviting Tender (NIT).
  - b. Section-II: Instructions to Bidders (ITB)
  - c. Section-III: Bid Data Sheet (BDS)
  - d. Section-IV: General Conditions of Contract (GCC)
  - e. Section-V: Special Conditions of Contract (SCC)
  - f. Section-VI: Technical Specifications and Terms & Conditions
  - g. Section-VII: Schedule of Quantities (SOQ) / Bill of Quantities (BOQ) (As per GeM)
  - h. Section-VIII: Forms and Procedures (Part 1 of 3, Part 2 of 3 & Part 3 of 3)
2. A complete set of documents as mentioned above are enclosed under "Terms and Conditions to be mentioned by Buyer for bidders to participate".
3. **Terms and Conditions stipulated in ATC will supersede those in GeM GTC in case of any conflicting provisions.**
4. Bidders are required to submit the documents as mentioned in the check list appended below while submitting their bid and submit the duly filled check list online on GeM portal in **'Additional ATC Documents'**.
5. **Instructions for filling the Price Bid: Refer SOW & BOQ.**
6. **Eligibility for Participation: Refer NIT.**
7. MSEs seeking exemption for EMD and Purchase Preference shall select appropriate option on GeM portal (separately for each i.e. EMD & purchase preference) and should enclose attested / self-certified copy of DYAM registration certificate, failing which they run the risk of their bid being passed over as ineligible for the benefits applicable to MSEs. EMD exemptions as per GeM GTC shall be applicable.

8. EMD is to be submitted in the form of Bank Guarantee/ Insurance Surety Bond in the format specified in the Bid Document only. **Submission of EMD BG in GeM Format shall not be accepted and such Bid shall be outrightly Rejected.** The format of the Bank Guarantee shall be in accordance with the form of Bank Guarantee towards Bid Security/EMD/Performance security included in the Employer's Bidding Documents only (refer Section-VIII: Forms and Procedures (Part 1 of 3)). In addition, the format of the Insurance Surety Bond shall also be in accordance with the form of Insurance Surety Bond towards Bid Security/Performance security included in the Employer's Bidding Documents only (refer Section-VIII: Forms and Procedures (Part 1 of 3)).

9. Evaluation and Splitting criteria: **Ref. SOW.**

10. **Following Yellow Highlighted Attachments MUST be uploaded by Bidders, failing which the offer shall be liable to be rejected.**

SI	Document Required	Action Required	Submitted (√)
1	Attachment 1: <b>Bid Security / EMD</b> (as per ITB Clause No. 14.0)-		
	(i) <b>Bank Guarantee</b> , strictly in the format provided in the tender document ( <b>Not as per GeM format, GeM format of EMD BG shall not be acceptable</b> )	<b>To be uploaded online and Original BG to be submitted physically.</b>	
	(ii) <b>MSE (UDYAM only) Certificate</b> towards exemption of Bid Security / EMD (if applicable).	<b>To be uploaded online</b>	
2	<b>Bidder's Basic Information</b>	<b>To be uploaded online</b>	
3	<b>Attachment-3</b>	<b>To be uploaded online</b>	
4	<b>Attachment 14: Declaration regarding GST</b>	<b>To be uploaded online duly filled in</b>	
5	<b>Attachment 15: Undertaking for compliance of Important Conditions of Tender</b>	<b>To be uploaded online dully filled in</b>	
6	Attachment 3B: Certificate Regarding Ownership change	To be uploaded online duly filled in	
7	Attachment 6A: Safety Equipment & Safety Personal Protective Equipment	To be uploaded online duly filled in	

8	Attachment 8: List of Financial Documents	To be uploaded online duly filled in	
9	Attachment 9: General Declaration of Bidder	To be declared through undertaking at Attachment-15	
10	Attachment 10: Details of Accidents	To be uploaded online duly filled in	
11	Attachment 11: Electronic Fund Transfer (EFT) Authorisation Form	To be uploaded online duly filled in and authenticated from the concerned bank	
12	Attachment 12: Integrity Pact as per Performance (pre-signed by employer) duly signed & Stamped at each page <i>[if applicable]</i>	To be accepted in attachment-15	
13	Declaration on Compliance to "Conflict of Interest" provisions.	To be uploaded online.	

**Bidder's Sign & Stamp**

## Bidder's Basic Information

- 1. Name of Agency:**
  
- 2. Address:**
  
- 3. PAN No.:**
  
- 4. NTPC Vendor Code (If Any):**

**5. Name of Proprietor / Partners / Directors:**

- a. ....
- b. ....
- c. ....
- d. ....
- e. ....
- f. ....

**6. Dealing Person for this Tender:**

- a. **Name:**
- b. **Mobile No.:**
- c. **Alt. Mobile No.:**

**7. Mail ID:**

Date:  
Place:  
Signature.....  
(Name of Authorised person having Power of attorney)  
  
Designation.....  
Common Seal.....



**(UNDERTAKING FOR COMPLIANCE OF IMPORTANT CONDITIONS OF T  
NDER BY BIDDER)**

(To be uploaded online on the portal)

To,

NTPC Ltd.,

CPG-1, USSC Raipur

Dear Sirs,

We hereby confirm that, we have carefully read the provisions of the following u  
dertakings and accepting the same:

<b>Sl.</b>	<b>Undertakin g Type</b>	<b>Provisions of Undertaking</b>	<b>Acceptanc e</b>
1.	Nil Deviation Certificate	<p>No deviation, whatsoever, is permitted by the Employer to the provisions of Bidding Documents. The Bidders are advised that while making their Bid proposals and quoting prices, all conditions may appropriately be taken into consideration. Bidders are required to certify their full compliance to the complete Bidding Documents and its subsequent Amendment(s) / Clarification(s) / Addenda / Errata, if any, issued by the Employer by accepting the following condition:</p> <p align="center"><i>“Do you certify full compliance to all Provisions of Bid Doc”</i></p> <p>Acceptance of above condition shall be considered as Bidder's confirmation that any deviation to the any Provisions found anywhere in their Bid Proposal, implicit or explicit, shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which the bid security shall be forfeited.</p>	Accepted

2.	Declaration on Qualifying Requirements	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you certify full compliance on Qualifying Requirements.”</p> <p>Acceptance of above condition shall be considered as bidder's confirmation to the following:</p> <p>a) The number of reference Plants/Orders quoted by Bidder in the bid, for establishing compliance to the specified Qualifying Requirement (QR), are in accordance with the provision specified above.</p> <p>b) The reference Plants/ Orders/ declared shall only be considered for evaluation/ establishing compliance to Qualifying Requirement (QR).</p> <p>c) No change or substitution in respect of reference Plants/Orders for meeting the specified Qualifying Requirement (QR) shall be offered by the bidder.</p>	Applicable /Not Applicable
3.	Declaration on Safety Policy	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept the Safety Policy of NTPC”.</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by Safety Policy of the Employer as per the provisions of GCC Sub-Clause 10.4.9.</p>	Accepted

4.	Declaration on Banning Policy	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept Withholding and Banning of Business Dealing Policy of NTPC”</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by Banning Policy of the Employer displayed on NTPC’s website <a href="http://www.ntpctender.ntpc.co.in">http://www.ntpctender.ntpc.co.in</a> indicating his compliance to the provisions of ITB Sub-Clause 32.</p> <p>The version of Policy for Debarment from Business dealings presently followed by NTPC is Rev-4.</p>	Accepted
5.	Declaration on Fraud Prevention Policy	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept the Fraud Prevention Policy of NTPC”.</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by Fraud Prevention Policy of the Employer displayed on NTPC’s website <a href="http://www.ntpctender.ntpc.co.in">http://www.ntpctender.ntpc.co.in</a> indicating his compliance to the provisions of ITB Sub-Clause 31.</p>	Accepted

6.	Declaration on Local Content	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Confirm that you are a LOCAL SUPPLIER, and the LOCAL CONTENT included in the PACKAGE FOR COMPLETE SCOPE OF WORK meets the MINIMUM LOCAL CONTENT requirements of the Tender.”</p> <p>Acceptance of above condition shall be considered as Bidder’s confirmation that Bidder is meeting the requirement of fulfil the requirements of Local content for Class-I Local Supplier.</p> <p>In case aforesaid Confirmation/Certificate furnished by Contractor/Vendor is not in line with the declaration in respect of Local content in their bid, same shall be treated as false declaration and will be dealt in line with the Fraud Prevention Policy of NTPC</p>	Accepted
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7.	<p>Declaration on Restrictions on procurement from a Bidder of a country which shares a land border with India</p>	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you certify full compliance on clause as per tender documents on Restrictions on procurement from a Bidder of a country which shares a land border with India?” / “Do you certify full compliance to all provisions of Bid Documents?”</p> <p>Acceptance of above condition shall be considered as Bidder's confirmation that Bidder has read and understood the ITB Clause regarding “Restrictions on procurement from a Bidder of a country which shares a land border with India” and its bid is in compliance to this clause.</p> <p>In case it is established that Bidder has provided any false information in pursuance of the aforesaid ITB Clause, while competing for this contract, then its bid shall be rejected.</p> <p>In case of a successful bidder, if it is established that the Bidder has not complied with terms of aforesaid ITB Clause, during execution of contract, this would be a sufficient ground for immediate termination of the contract as per GCC Clause titled ‘Termination for Contractor’s Default’ and shall be dealt accordingly.</p>	Accepted
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8.	Anti-Bribery and Anti-Corruption (ABAC) Policy	<p>The Bidder and its employees along with its Associate/ Collaborator/ SubContractors / Sub-Vendors / Consultants / Service Providers and all other persons associated with business of Employer shall strictly adhere to AntiBribery and Anti-Corruption (ABAC) Policy of Employer displayed on tender website <a href="https://ntpctender.ntpc.co.in/">https://ntpctender.ntpc.co.in/</a>.</p> <p>Bidders shall certify their compliance on “Anti-Bribery and Anti-Corruption (ABAC) Policy” of Employer by accepting the following: “Do you certify full compliance to all provisions of Bidding Document?”</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they and their employees along with their associate / collaborator/ subcontractors / sub vendors / consultants / service providers shall strictly abide by “Anti-Bribery and Anti-Corruption (ABAC) Policy” of Employer as displayed on tender website at <a href="https://ntpctender.ntpc.co.in/">https://ntpctender.ntpc.co.in/</a> under section ‘policy docs’ and undertake that they represent and confirm that they are aware of, understand, and will comply with all applicable laws and regulations relating to anticorruption and anti-bribery and the ABAC Policy of Employer.</p>	Accepted
9.	<b>Compliance to “Conflict of Interest” provisions</b>	<p>Bidders shall certify their compliance on</p> <p><b>“Do you certify full compliance to all provisions of Bidding Document?”</b></p> <p>“We confirm that we have read and understood the ITB Clause 3 as indicated in BDS clause 21 regarding “Conflict of Interest” and our bid is in compliance to this clause.</p> <p>In case it is established that we have provided any false information in pur</p>	Accepted

suance of the aforesaid ITB\* Clause, while competing for this contract, the n our bid shall be rejected and bid se curity shall be forfeited.

We also understand that in case we become successful bidder, if it is esta blished that we have not complied wi th terms of aforesaid ITB Clause 3 as indicated in BDS clause 21, during ex ecution of contract, this would be co nsidered as fraudulent practice as m entioned in para 5.1 (j) of "Policy for Debarment from Business Dealings" and shall be dealt accordingly."

Acceptance of above condition shall be co nsidered as Bidder's confirmation that Bid der has read and understood the Clause r egarding "Conflict of Interest" and its bid i s in compliance to this clause.

In case it is established that Bidder has pr ovided any false information in pursuance of the aforesaid Clause, while competing f or this contract, then its bid shall be reject ed and EMD/bid security shall be forfeited.

In case of a successful bidder, if it is established that the Bidder has not compli ed with terms of aforesaid Clause, during execution of contract, this would be consi dered as fraudulent practice as mentioned in para 5.1 (j) of "Policy for Debarment fro m Business Dealings" and shall be dealt a ccordingly.

10.	<b>Acceptance of Integrity Pact</b>	<p>Bidders are required to unconditionally accept the "Integrity Pact (IP)" as per Attachment-12 to the Bidding Documents which has been pre-signed by the Employer and confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept the provisions stipulated in the attached Integrity Pact”.</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by provisions stipulated in all the 9 Sections of integrity pact of the Employer as per the provisions of ITB/GCC.</p>	Accepted
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Acceptance of above conditions shall be considered as Bidder's confirmation that any deviation to the provisions of Bidding Documents found anywhere in their Bid Proposal, implicit or explicit, shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which the bid shall be rejected and bid security shall be forfeited.

The submission of an online bid against the subject NIT will be treated as the acceptance and compliance of all the Bid document terms and conditions including GTC, STC and ATC and Corrigendum conditions.

Date : \_\_\_\_\_ Name of Authorized Person having Power of attorney \_\_\_\_\_

Place : \_\_\_\_\_ Sign & Designation \_\_\_\_\_

**ATTACHMENT - 1**

**DECLARATION REGARDING GST**

**GEM Bid No.:**.....





**(To be submitted with Techno Commercial Bid duly filled in and signed)**

Bidder's Name and Address:

To

**NTPC Ltd.**

.....

We hereby declare and confirm that we have considered the Following GST Rates while quoting the Price on GeM portal:

Sl. No.	Item Description	HSN Code	Mechanism (Basis of Charge) <b>(Strike out whichever is not applicable)</b>		
			Forward Charge @12%	Reverse Charge @ 5%	18%
10	Ash Transportation & Unloading				
20	Ash Excavation & Loading				

Notes:

- (1) During Execution of the Contract applicable GST shall be paid/reimbursed by the Employer on submission of (GSTIN) Invoice along with Consignment Note issued by Contractor.
- (2) The Declaration of the above Scheme shall be supported by authentic documents so that it may be established that scheme declared above is in line with declaration given to taxation authorities.

Date :  
son

Name of Authorized Person

Place :

Designation

NIT NO. ....	<b>ATTACHMENT- 3 (A)</b>
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Dear Sirs,

In order to meet the qualifying requirements at Sub-Clause No. 5.1 to NIT (Section-I), we declare that we have

executed following works as per details given below:

1	No. of Order Copies in order to meet the QR Criteria 5.1	A total of _____ No. of orders have been submitted.		
SI No.	Item Description	Submitted Completion/ Execution Certificate & Work Order -1	Submitted Completion/ Execution Certificate & Work Order - 2	Submitted Completion/ Execution Certificate & Work Order -3
2.	Name of Work in the Work Order (Include more rows & column if required)			
2 (a)	Purchase Order / Work Order No. & Date	Ref No.: Date: PO Value:-	Ref No.: Date: PO Value:-	Ref No.: Date: PO Value:-

2 (b)	Does the Ref PO submitted in support of QR Clause No. 5.1 explicitly pertain to the Scope of Work mentioned in the QR?	YES / NO	YES / NO	YES / NO
2 (c)	Execution Details : (Start Date, Completion Date, Value)	Start date:  Completion date:  <b>Executed Value:</b>	Start date:  Completion date:  <b>Executed Value:</b>	Start date:  Completion date:  <b>Executed Value:</b>
3	<b>Completion Certificate No. &amp; Date</b>  <b>(Proof of Execution)</b>	Ref No.: Date:	Ref No.: Date:	Ref No.: Date:
4	<b>Documents mentioned in support of SI. No. 1 to 3, duly certified and verified for authenticity from Independent Statutory Auditor or of their Company or specified Third-Party Inspection Agency (TPIA)?</b>	YES / NO	YES / NO	YES / NO
5(a)	Name, Designation, Address of the Authority who issued the Work order / Purchase Order	Name: Designation: Address: E-Mail: Mobile No.:	Name: Designation: Address: E-Mail: Mobile No.:	Name: Designation: Address: E-Mail: Mobile No.:

5(b)	Name, Designation, Address of the Authority who issued the Completion Certificate / Proof of Execution	Name: Designation: Address: E-Mail: Mobile No.:	Name: Designation: Address: E-Mail: Mobile No.:	Name: Designation: Address: E-Mail: Mobile No.:
6(a)	Whether the same Work Order was submitted in any tender invited by NTPC in the past for meeting the QR	YES / NO	YES / NO	YES / NO
6(b)	If yes, then provide the NIT No., NTPC Unit, further details viz. Name, designation, and mobile no. of tender inviting authority	NIT No.: NTPC Project Name: Name of Tender Inviting Authority: Mobile No.: Mail ID:	NIT No.: NTPC Project Name: Name of Tender Inviting Authority: Mobile No.: Mail ID:	NIT No.: NTPC Project Name: Name of Tender Inviting Authority: Mobile No.: Mail ID:

**NOTE:**

1. Bidders wishing to provide more than 03 orders are requested to use this format multiple times, only by changing the work order SI No. to 4,5,6... and so on in the relevant column.
2. The Employer at its discretion may seek any clarification and/or documentary evidence only for the reference documents as mentioned above. However, no change or substitution of the reference documents for conforming to the specified Qualifying Requirement shall be sought, offered, or permitted.
3. Submission of duly filled Attachment-3 is MANDATORY.
4. Bidder must ensure that the Completion Certificate/Proof of Execution issued by the client includes all the parameters of QR.
5. Bidder to furnish documentary evidence against all claims in the form of detailed Letter of Award/ Contract Agreement / Purchase Order /Completion Certificate / Client's Certificates etc. for a particular credential at appropriate folder.

NIT NO. ....	<b>ATTACHMENT- 3 (B)</b>
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Dear Sirs,

In order to satisfy the Qualifying Requirements (QR) stipulated at Sub-Clause 5.2 to NIT (Section-I), we declare that we meet all the stipulations of Sub-Clause 5.2 to NIT (Section-I) as per details given w:

Sl	Description	Details/Remarks
5.2.1	<p>We confirm that our Average Annual Financial Turnover (AATO) during the preceding three (03) consecutive financial years as on the date of Techno-Commercial bid opening is not less than <b>Rs. .... Lakhs.</b></p> <p>In support of above, we are enclosing audited financial statements and the details are as under:</p>	
	<p><b>X. For FY 2020-2021</b> :</p> <p><b>(Only if Audited Financial Statement or CA Certified Turn-Over for FY 2023-24 is not available. CEO/CFO Certificate is Mandatory in such cases.)</b></p>	<p><b>Rs .....</b> <b>..... Lacs</b></p> <p><b>UDIN No .....</b> <b>.....</b></p>
	<p><b>A. For FY 2021-2022</b> :</p>	<p><b>Rs .....</b> <b>..... Lacs</b></p> <p><b>UDIN No .....</b> <b>.....</b></p>
	<p><b>B. For FY 2022-2023</b> :</p>	<p><b>Rs .....</b> <b>..... Lacs</b></p> <p><b>UDIN No .....</b> <b>.....</b></p>
	<p><b>C. For FY 2023-2024</b> :</p>	<p><b>Rs .....</b> <b>..... Lacs</b></p> <p><b>UDIN No .....</b> <b>.....</b></p>
	<p>Average Annual Turnover in The Preceding Three (3) Financial Years = <math>(A+B+C)/3 =</math></p>	<p><b>Rs .....</b> <b>Lacs</b></p>
	<p>We have enclosed Audited financial statements for the last 3 financial years-</p>	<p>Yes / No</p>

5.2.2	<p>In case the bidder does not satisfy the financial criteria, stipulated at Cl. 5.2.1 above on its own, its Holding Company would be required to meet the stipulated turnover requirements at Cl. 5.2.1 above, provided that the net worth of such Holding Company as on the last day of the preceding financial year is at least equal to or more than the paid-up share capital of the Holding Company. In such an event, the bidder would be required to furnish along with its Techno-Commercial bid, a Letter of Undertaking from the Holding Company, supported by Board Resolution, as per the format enclosed in the bid documents, pledging unconditional and irrevocable financial support for the execution of the Contract by the bidder in case of award.</p>	Complied/ Not Complied / NA
5.2.3	<p>In case the bidder is not able to furnish its audited financial statements on stand-alone entity basis, the unaudited unconsolidated financial statements of the bidder can be considered acceptable provided the bidder further furnishes the following documents for substantiation of its qualification:</p> <p>a. Copies of the unaudited unconsolidated financial statements of the bidder along with copies of the audited consolidated financial statements of its Holding Company.</p> <p>b. A Certificate from the CEO/CFO of the Holding Company, as per the format enclosed in the bidding documents, stating that the unaudited unconsolidated financial statements form part of the consolidated annual financial statements of the Holding Company.</p>	Complied/ Not Complied / NA
5.2.4	<p>In case where audited results for the last financial year as on the date of techno commercial bid opening are not available, the financial results certified by a practicing Chartered Accountant shall be considered acceptable. In case, Bidder is not able to submit the certificate from practicing Chartered Accountant certifying its financial parameters, the audited results for the three consecutive financial years preceding the last financial year shall be considered for evaluating the financial parameters. Further, a Certificate would be required from the CEO/CFO as per the format enclosed in the bidding documents stating that the Financial results of the Company are under audit as on the date of Techno commercial bid opening and the Certificate from the practicing Chartered Accountant certifying the financial parameters is not available.</p>	Complied/ Not Complied / NA

5.2.5	<p>Note for Clause No. 5.2 above:</p> <ol style="list-style-type: none"> <li>1. Net worth means the sum total of the paid-up share capital and free reserves. Free reserve means all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further, any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus.</li> <li>2. Other income shall not be considered for arriving at annual turnover.</li> <li>3. "Holding Company" and "Subsidiary Company" shall have the meaning ascribed to them as per Companies Act of India.</li> </ol>	Complied/ Not Complied / NA

**APPENDIX-**

**TO ATTACHMENT -**

**NIT NO.** .....

**PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE  
COMPANY IN ACCORDANCE WITH QR**

**(To be submitted by Bidder along with the Techno-Commercial Bid)**

Ref. :

Date :

To

**Central Procurement Group**

NTPC Ltd., Wester Region HQ,

Plot No. 87, Sector-24, Atal Nagar,

Nava Raipur, Raipur, Chhattisgarh - 492018

**Dear Sirs,**

I, Mr. .... (CEO of the Company / CFO of the Company)\* confirm and undertake that the Financial results of the company for the preceding financial year **(FY 2023-24)** to be submitted in respect of the bidder as part of the NIT No. .... dated ..... are under audit as on the date of Techno Commercial Bid Opening for ".....Name of Package....." and the certificate from the practicing chartered accountant certifying the financial parameters for the preceding financial year **(FY 2023-24)** is not available.

Yours faithfully

(Signature)

Date : (Name & Designation) .....

Place : (Name of the Company) .....

(Seal of Company) .....

**Note :** \*Strike off whichever is not applicable.

**APPENDIX-B TO ATTACHMENT - 3**

**Undertaking from Independent Statutory Auditor**

**(ONLY FOR COMPANIES, NOT FOR PROPRIETORSHIP FIRMS & PARTNERSHIP FIRMS)**

(On **letter head digitally signed** by a person duly authorized to Sign on behalf of the Statutory Auditor)



To

**Central Procurement Group**, NTPC Ltd., Plot No. 87, Sector-24, Atal Nagar Nava Raipur, Raipur, Chhattisgarh - 492018

**Subject: Authentication of veracity of documents submitted by M/s ..... in support of meeting the Qualifying Requirements**

Dear Sir,

M/s. .... (hereinafter called Bidder) having Registered office at..... intend to participate in one of the tender(s) of NTPC Ltd. We, M/s ..... has been appointed as Statutory Auditor for the Bidder i.e. M/s ..... (Relevant documents on our appointment attached

The tender condition stipulates that the bidder shall submit supporting Documents pertaining to Technical Qualifying Requirement duly verified and certified by Statutory Auditor.

In this regard, it is hereby confirmed that we have examined the following documents, which are also attached with this letter. The same has been verified from the Original Documents and/or Client for authenticity. Further, we have examined the books of accounts, records, and other relevant documents, along with other necessary information and explanations furnished by M/s. .... (bidder), and hereby certify that the following documents are found to be genuine and authentic.

Sl	Work Order No / Agreement No / Purchase Order No. and Date	Execution / Completion / Client Certificate No. and Date	Executed Value (Excl. GST)	Execution Period	Client Name
1					
2					
3					

**All the aforesaid documents have been Digitally Signed by us as a certificate of authenticity.**

This certificate is issued at the request of M/s ..... (Bidder) for the purpose of participating in tender/s

Thanking you,

Digital Signature:.....

Name of Auditor:.....

Firm Name:.....

UDIN No.: .....

**APPENDIX-C TO ATTACHMENT - 3**

**Undertaking from Third Party Inspection Agency**

**(FOR PROPRIETORSHIP FIRMS, PARTNERSHIP FIRMS & COMPANIES AS WELL)**

(On **letter head** Digitally Signed by a person duly authorized to Sign on behalf of the TPIA)

To,

**Central Procurement Group**, NTPC Ltd., Plot No. 87, Sector-24, Atal Nagar

Nava Raipur, Raipur, Chhattisgarh - 492018

Dear Sir,

**Subject: Authentication of veracity of documents submitted by M/s ..... in support of meeting the Qualifying Requirements**

Ref : NIT No. ....

Name of the Package/ Tender: .....

M/s. .... (hereinafter called Bidder) having Registered office at ..... intend to participate in above referred tender of NTPC Ltd. The tender condition stipulates that the bidder shall submit supporting documents pertaining to Qualifying Requirement duly verified and certified by a specified independent Third Party Inspection Agency as per the list mentioned in the bidding documents.

In this regard, it is hereby confirmed that we have examined the following documents, which are also attached with this letter. The same has been verified from the Original Documents and Client for authenticity.

We hereby confirm that the following documents are found to be genuine and authentic.

SI	Work Order No / Agreement No / Purchase Order No. and Date	Execution / Completion / Client Certificate No. and Date	Executed Value (Excl. GST)	Execution Period	Client Name
1					
2					

**All the aforesaid documents have been digitally signed by us as a certificate of authenticity.**

We further confirm that we neither have any vested interest in aforesaid tender nor have any conflict of interest in respect of above tender. This certificate is issued at the request of M/s ..... (Bidder) for the purpose of participating in the subject tender/s.

Thanking you,

Digital Signature: .....

Name: .....

## 2. Buyer Added Bid Specific ATC

Buyer uploaded ATC document [Click here to view the file.](#)

## Disclaimer/अस्वीकरण

The additional terms and conditions have been incorporated by the Buyer after approval of the Competent Authority in Buyer Organization, whereby Buyer organization is solely responsible for the impact of these clauses on the bidding process, its outcome, and consequences thereof including any eccentricity / restriction arising in the bidding process due to these ATCs and due to modification of technical specifications and / or terms and conditions governing the bid. If any clause(s) is / are incorporated by the Buyer regarding following, the bid and resultant contracts shall be treated as null and void and such bids may be cancelled by GeM at any stage of bidding process without any notice:-

1. Definition of Class I and Class II suppliers in the bid not in line with the extant Order / Office Memorandum issued by DPIIT in this regard.
2. Seeking EMD submission from bidder(s), including via Additional Terms & Conditions, in contravention to exemption provided to such sellers under GeM GTC.
3. Publishing Custom / BOQ bids for items for which regular GeM categories are available without any Category item bunched with it.
4. Creating BoQ bid for single item.
5. Mentioning specific Brand or Make or Model or Manufacturer or Dealer name.
6. Mandating submission of documents in physical form as a pre-requisite to qualify bidders.
7. Floating / creation of work contracts as Custom Bids in Services.
8. Seeking sample with bid or approval of samples during bid evaluation process. (However, in bids for [attached categories](#), trials are allowed as per approved procurement policy of the buyer nodal Ministries)
9. Mandating foreign / international certifications even in case of existence of Indian Standards without specifying equivalent Indian Certification / standards.
10. Seeking experience from specific organization / department / institute only or from foreign / export experience.
11. Creating bid for items from irrelevant categories.
12. Incorporating any clause against the MSME policy and Preference to Make in India Policy.
13. Reference of conditions published on any external site or reference to external documents/clauses.
14. Asking for any Tender fee / Bid Participation fee / Auction fee in case of Bids / Forward Auction, as the case

may be.

15. Any ATC clause in contravention with GeM GTC Clause 4 (xiii)(h) will be invalid. In case of multiple L1 bidders against a service bid, the buyer shall place the Contract by selection of a bidder amongst the L-1 bidders through a Random Algorithm executed by GeM system.

Further, if any seller has any objection/grievance against these additional clauses or otherwise on any aspect of this bid, they can raise their representation against the same by using the Representation window provided in the bid details field in Seller dashboard after logging in as a seller within 4 days of bid publication on GeM. Buyer is duty bound to reply to all such representations and would not be allowed to open bids if he fails to reply to such representations.

This Bid is governed by the [General Terms and Conditions/सामान्य नियम और शर्तें](#), conditions stipulated in Bid and [Service Level Agreement](#) specific to this Service as provided in the Marketplace. However in case if any condition specified in General Terms and Conditions/सामान्य नियम और शर्तें is contradicted by the conditions stipulated in Service Level Agreement, then it will over ride the conditions in the General Terms and Conditions.

In terms of GeM GTC clause 26 regarding Restrictions on procurement from a bidder of a country which shares a land border with India, any bidder from a country which share land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority. While participating in bid, Bidder has to undertake compliance of this and any false declaration and non-compliance of this would be a ground for immediate termination of the contract and further legal action in accordance with the laws./जेम की सामान्य शर्तों के खंड 26 के संदर्भ में भारत के साथ भूमि सीमा साझा करने वाले देश के बिडर से खरीद पर प्रतिबंध के संबंध भारत के साथ भूमि सीमा साझा करने वाले देश का कोई भी बिडर इस निविदा में बिड देने के लिए तभी पात्र होगा जब वह बिड देने वाला सक्षम प्राधिकारी के पास पंजीकृत हो। बिड में भाग लेते समय बिडर को इसका अनुपालन करना होगा और कोई भी गलत घोषणा किए जाने व इसका अनुपालन न करने पर अनुबंध को तत्काल समाप्त करने और कानून के अनुसार आगे की कानूनी कार्रवाई का आधार होगा।

**---Thank You/धन्यवाद---**