



Bid Number/बोली क्रमांक (बिड संख्या):
GEM/2023/B/4038008
Dated/दिनांक : 12-10-2023

Bid Document/ बिड दस्तावेज़

Bid Details/बिड विवरण	
Bid End Date/Time/बिड बंद होने की तारीख/समय	02-11-2023 11:00:00
Bid Opening Date/Time/बिड खुलने की तारीख/समय	02-11-2023 11:30:00
Bid Offer Validity (From End Date)/बिड पेशकश वैधता (बंद होने की तारीख से)	120 (Days)
Ministry/State Name/मंत्रालय/राज्य का नाम	Ministry Of Power
Department Name/विभाग का नाम	Na
Organisation Name/संगठन का नाम	Ntpc Limited
Office Name/कार्यालय का नाम	Cg
Item Category/मद केटेगरी	Custom Bid for Services - Biennial Contract for Housekeepingcollectionstackingloading transportation unloading of Mill rejectBottom ash Garbagegas cylinders etc from Stage12 main plant its offsite area at NTPC Mouda
Contract Period/अनुबंध अवधि	2 Year(s) 1 Day(s)
Past Experience of Similar Services required/इसी तरह की सेवाओं का पिछला आवश्यक अनुभव है	Yes
MSE Exemption for Years of Experience and Turnover/ अनुभव के वर्षों से एमएसई छूट	No
Startup Exemption for Years of Experience and Turnover/ अनुभव के वर्षों से स्टार्टअप छूट	No
Document required from seller/विक्रेता से मांगे गए दस्तावेज़	Experience Criteria,Bidder Turnover,Certificate (Requested in ATC),Additional Doc 1 (Requested in ATC),Additional Doc 2 (Requested in ATC),Additional Doc 3 (Requested in ATC),Additional Doc 4 (Requested in ATC) *In case any bidder is seeking exemption from Experience / Turnover Criteria, the supporting documents to prove his eligibility for exemption must be uploaded for evaluation by the buyer
Bid to RA enabled/बिड से रिवर्स नीलामी सक्रिय किया	No
Type of Bid/बिड का प्रकार	Two Packet Bid
Time allowed for Technical Clarifications during technical evaluation/तकनीकी मूल्यांकन के दौरान तकनीकी स्पष्टीकरण हेतु अनुमत समय	4 Days

Bid Details/बिड विवरण

Estimated Bid Value/अनुमानित बिड मूल्य	152175398.43
Evaluation Method/मूल्यांकन पद्धति	Total value wise evaluation

EMD Detail/ईएमडी विवरण

Advisory Bank/एडवाइजरी बैंक	ICICI
EMD Amount/ईएमडी राशि	2000000

ePBG Detail/ईपीबीजी विवरण

Advisory Bank/एडवाइजरी बैंक	ICICI
ePBG Percentage(%) / ईपीबीजी प्रतिशत (%)	10.00
Duration of ePBG required (Months) / ईपीबीजी की अपेक्षित अवधि (महीने).	27

(a). EMD EXEMPTION: The bidder seeking EMD exemption, must submit the valid supporting document for the relevant category as per GeM GTC with the bid. Under MSE category, only manufacturers for goods and Service Providers for Services are eligible for exemption from EMD. Traders are excluded from the purview of this Policy./जेम की शर्तों के अनुसार ईएमडी छूट के इच्छुक बिडर को संबंधित केटेगरी के लिए बिड के साथ वैध समर्थित दस्तावेज़ प्रस्तुत करने हैं। एमएसई केटेगरी के अंतर्गत केवल वस्तुओं के लिए विनिर्माता तथा सेवाओं के लिए सेवा प्रदाता ईएमडी से छूट के पात्र हैं। व्यापारियों को इस नीति के दायरे से बाहर रखा गया है।

(b). EMD & Performance security should be in favour of Beneficiary, wherever it is applicable./ईएमडी और संपादन जमानत राशि, जहां यह लागू होती है, लाभार्थी के पक्ष में होनी चाहिए।

Beneficiary/लाभार्थी :

Sr.Manager

NTPC Limited, Unified Shared Service Centre, Central Procurement Group-I, Western Region-II Head Quarter, Plot No.-87, Sector-24, Atal Nagar Nava Raipur, Raipur, Chhattisgarh, Pin Code-492101
(Ntpc Ltd)

Splitting/विभाजन

Bid splitting not applied/बोली विभाजन लागू नहीं किया गया.

MII Compliance/एमआईआई अनुपालन

MII Compliance/एमआईआई अनुपालन	Yes
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MSE Purchase Preference/एमएसई खरीद वरीयता

MSE Purchase Preference/एमएसई खरीद वरीयता	Yes
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1. Purchase preference to Micro and Small Enterprises (MSEs): Purchase preference will be given to MSEs as defined in Public Procurement Policy for Micro and Small Enterprises (MSEs) Order, 2012 dated 23.03.2012 issued by Ministry of Micro, Small and Medium Enterprises and its subsequent Orders/Notifications issued by concerned Ministry. If the bidder wants to avail the Purchase preference for services, the bidder must be the Service provider of the offered Service. Relevant documentary evidence in this regard shall be uploaded along with the bid in respect of the offered service. If L-1 is not an MSE and MSE Service Provider (s) has/have quoted price within L-1+ 15% of margin of purchase preference /price band defined in relevant policy, then 100% order quantity will be awarded to such MSE bidder subject to acceptance of L1 bid price.

[OM No.1 4 2021 PPD dated 18.05.2023](#) for compliance of Concurrent application of Public Procurement Policy for Micro and Small Enterprises Order, 2012 and Public Procurement (Preference to Make in India) Order, 2017.

2. Estimated Bid Value indicated above is being declared solely for the purpose of guidance on EMD amount and for determining the Eligibility Criteria related to Turn Over, Past Performance and Project / Past Experience etc. This has no relevance or bearing on the price to be quoted by the bidders and is also not going to have any impact on bid participation. Also this is not going to be used as a criteria in determining reasonableness of quoted prices which would be determined by the buyer based on its own assessment of reasonableness and based on competitive prices received in Bid / RA process.

3. Past Experience of Similar Services: The bidder must have successfully executed/completed similar Services over the last three years i.e. the current financial year and the last three financial years(ending month of March prior to the bid opening): -

1. Three similar completed services costing not less than the amount equal to 40% (forty percent) of the estimated cost; or
2. Two similar completed services costing not less than the amount equal to 50% (fifty percent) of the estimated cost; or
3. One similar completed service costing not less than the amount equal to 80% (eighty percent) of the estimated cost.

Additional Qualification/Data Required/अतिरिक्त योग्यता /आवश्यक डेटा

Scope of Work:[1696401565.pdf](#)

GEM Availability Report (GAR):[1696401649.pdf](#)

Instruction To Bidder:[1697005560.pdf](#)

Pre Qualification Criteria (PQC) etc if any required:[1697005568.pdf](#)

Special Terms and Conditions (STC) of the Contract:[1697005603.pdf](#)

Payment Terms:[1697006221.pdf](#)

Quantifiable Specification / Standards of The Service/ BOQ:[1697006230.pdf](#)

Project Experience and Qualifying Criteria Requirement:[1697006237.pdf](#)

Any other Documents As per Specific Requirement of Buyer -2:[1697006258.pdf](#)

Service Level Agreement (SLA):[1697007574.pdf](#)

Introduction about the project /services being proposed for procurement using custom bid functionality:[1697007617.pdf](#)

Any other Documents As per Specific Requirement of Buyer -1:[1697007660.pdf](#)

Custom Bid For Services - Biennial Contract For Housekeepingcollectionstackingloading Transportation Unloading Of Mill RejectBottom Ash Garbagegas Cylinders Etc From Stage12 Main Plant Its Offsite Area At NTPC Mouda (1)

Technical Specifications/तकनीकी विशिष्टियाँ

Specification	Values
Core	

Specification	Values
Description /Nomenclature of Service Proposed for procurement using custom bid functionality	Biennial Contract for Housekeepingcollectionstackingloading transportation unloading of Mill rejectBottom ash Garbagegas cylinders etc from Stage12 main plant its offsite area at NTPC Mouda
Regulatory/ Statutory Compliance of Service	YES
Compliance of Service to SOW, STC, SLA etc	YES
Addon(s)/एडऑन	

Additional Specification Documents/अतिरिक्त विशिष्टि दस्तावेज़

Consignees/Reporting Officer/परेषिती/रिपोर्टिंग अधिकारी

S.No./क्र. सं.	Consignee Reporting/Officer/ परेषिती/रिपोर्टिंग अधिकारी	Address/पता	The quantity of procurement "1" indicates Project based or Lumpsum based hiring.	Additional Requirement/अतिरिक्त आवश्यकता
1	SUNIL SINGH CHAUHAN	441104,Mouda Super Thermal Power Project Mouda -Ramtek Road ,Mouda District Nagpur 441104 Mouda	1	N/A

Buyer Added Bid Specific Terms and Conditions/क्रेता द्वारा जोड़ी गई बिड की विशेष शर्तें

1. Generic

OPTION CLAUSE: The buyer can increase or decrease the contract quantity or contract duration up to 25 percent at the time of issue of the contract. However, once the contract is issued, contract quantity or contract duration can only be increased up to 25 percent. Bidders are bound to accept the revised quantity or duration

2. Buyer Added Bid Specific ATC

Buyer Added text based ATC clauses

NTPC LIMITED
(A Government of India Enterprise)

Additional Terms and Conditions (ATC)

UNIFIED SHARED SERVICE CENTRE, CPG-1, RAIPUR

CONTRACT & MATERIAL DEPTT

(This document is meant for the exclusive purpose of bidding against this Bid Document No. / Specification and shall not be transferred, reproduced or otherwise used for purposes other than that for which it is specifically issued).

Please submit the duly signed INTEGRITY PACT(Pre-signed by NTPC) as per Format attached in the Bid Document. Pre-signed Integrity Pact by NTPC is uploaded with this ATC document (Please use the same format).

Name of Work : Biennial Contract for Housekeeping ,collection ,stacking, Loading, transportation & unloading of Mill reject, Bottom ash, Garbage ,gas cylinders etc from Stage-1&2 main plant & its offsite area at NTPC Mouda. (BID NO: GEM/2023/B/4038008)

1. Additional Terms & Conditions (ATC) of this tender comprises the following documents:
 - a. Section-I: Invitation for Bids (IFB)/Tender Enquiry/Notice Inviting Tender (NIT).
 - b. Section-II: Instructions to Bidders (ITB)
 - c. Section-III: General Conditions of Contract (GCC)
 - d. Section-IV: Special Conditions of Contract (SCC)
 - e. Section-V: Scope of work, Technical Specifications and Bid Drawings (Scope of Supply/Service)
 - f. Section-VI: Schedule of Quantities (SOQ) / Bill of Quantities (BOQ)
 - g. Section-VII: Forms and Procedures
 - h. Attachment-3
2. Terms and Conditions stipulated in ATC will supersede those in GeM GTC and STC in case of any conflicting provisions.
3. It shall be deemed that bid submitted by the bidder is unconditionally accepted with all the clauses mentioned in BID (including Integrity Pact, Attachment-09 and Attachment-13 of ATC) which is in line with GeM seller undertaking Sl. 3.0 "*I have read all the Bid conditions including the GeM GTC, STC and also all the clauses incorporated by the Buyer in ATC and various corrigendum of the bid. I hereby confirm acceptance and compliance of all the conditions including GTC, STC and ATC and Corrigendum conditions. I also confirm that all the product(s) offered is/are fully compliant with the specifications asked for in the bid, including the additional specification parameters incorporated by the Buyer in the bid document.*"
4. The format of the Bank Guarantee shall be in accordance with the form of Bank Guarantee towards Bid Security/EMD/Performance security/*Security for the Deed of Joint Undertaking (if applicable)/*Advance payment (if applicable) included in the Employer's Bidding Documents only. In addition, the format of the Insurance Surety Bond shall also be in accordance with the form of Insurance Surety Bond towards

ds Bid security/Performance security/*Security for the Deed of Joint Undertaking (if applicable) included in the Employer's Bidding Documents only.

Any bid not accompanied by an acceptable bid security in a separate sealed envelope shall be rejected by the Employer as being non-responsive.

5. Bidders are required to submit the documents as mentioned in the check list appended below while submitting their bid and submit the duly filled check list online on GeM portal in '**Additional ATC documents**'. **Formats of the documents required to be submitted as per this check list can be referred from "Section-VII: Forms & Procedures"**.

What documents are uploaded whereby NTPC on GEM: -

1. Under "Instruction To Bidder" tab of GEM - Section-II: Instructions to Bidders (ITB) is uploaded.
2. Under "Pre Qualification Criteria (PQC) Etc If Any Required" tab of GEM - Section-I NIT has been uploaded.
3. Under "Scope Of Work" tab of GEM - Section-V-SOW has been uploaded.
4. Under "Special Terms And Conditions (STC) Of The Contract" tab of GEM - Entire bid document as single pdf "Combined Tender Document" is uploaded.
5. Under "Service Level Agreement (SLA)" tab of GEM - Entire bid document as single pdf "Combined Tender Document" is uploaded. FORMS & PROCEDURES ARE ALSO INCLUDED IN THIS DOCUMENT WHICH ARE REQUIRED FOR PREPARATION & SUBMISSION OF BID BY THE VENDOR.
6. Under "Payment Terms" tab of GEM - Section-IV-SCC & Section-V-SOW has been uploaded
7. Under "Quantifiable Specification / Standards Of The Service/ BOQ" tab of GEM - Section-VI i.e. Detailed BOQ has been uploaded.
8. Under "Project Experience And Qualifying Criteria Requirement" tab of GEM - Attachment-3 along with appendices as applicable has been uploaded.
9. Under "Any Other Documents As Per Specific Requirement Of Buyer -1"; **PRE-SIGNED INTEGRITY PACT** according to the checklist given below has been uploaded. Bidders use the same attachments and submit the bid.
10. Under "Any Other Documents As Per Specific Requirement Of Buyer -2"; **ATTACHMENT-3** (Bidders Qualification Documents) , **ATTACHMENT-9** (Declaration Regarding GST) & **ATTACHMENT-13** (UNDERTAKING FOR COMPLIANCE OF IMPORTANT CONDITIONS) are combined & uploaded. Bidders are requested to duly fill and sign these attachments and submit the same with their "Technical Bid" while participating in the subject Tender.

6. Instructions for filling the Price Bid:

- A. The Bidder is required to quote Lumpsum Price {Including GST} in GeM Bid for entire service contract based on the quantity mentioned in "Detailed BOQ" attached under Quantifiable Specification / Standards Of The Service/ BOQ" tab of GEM.**
- B. The Declaration of applicable/QUOTED GST for the package is required to be MENTIONED in Attachment-9 as per the provisions of the bidding documents.**
- C. The quoted amount by Bidder in their GEM Bid shall represent the total Lumpsum-price i.e. {Quantity x Unit Rate} + Quoted GST (as declared in Annexure-9) for subject service contract.**

7. Splitting of Quantity: NOT APPLICABLE

8. All bids must be accompanied by Bid Security. Bid Security shall be submitted in a sealed envelope separately in physical form by the stipulated bid submission closing date and time at the address given below. ANY BID NOT ACCOMPANIED BY AN ACCEPTABLE BID SECURITY AND INTEGRITY PACT SHALL BE REJECTED BY THE EMPLOYER AS BEING NON-RESPONSIVE AND SHALL NOT BE OPENED.

It is also mandatory to submit duly sealed & signed Attachment-13 & duly sealed and signed and filled Attachment-9 & duly sealed & signed INTEGRITY PACT

Note: No Hard Copy of bidding documents shall be issued.

7.0 Documents to be uploaded/submitted for the tender by the Bidder:

Formats of the documents required to be submitted as per this check list can be referred from "Section-VII : Forms and Procedures" enclosed under 'Instruction to Bidders' and "Any Other Documents As Per Specific Requirement of Buyer-1".

Sl. No.	Document Required	Action required	Submitted Please tick (✓)
1.	Attachment 1: Bid Security / EMD (as per ITB Clause No. 14.0)-		
1.1	(i) Bank Guarantee/ Insurance Surety Bond, strictly in the format provided in the tender document, Amount as per NIT, validity as per tender document.	To be uploaded online and Original BG/Insurance Surety Bond to be submitted physically before the Bid submission deadline.	
	Or		
1.2	(ii) MSE (UDYAM only) certificate towards exemption of Bid Security / EMD.	To be uploaded online	
1.3	(iii) EMD Exemption as per GEM Conditions	To be uploaded online	
2	Attachment 2 : Power of Attorney/ authorization to sign the bid	To be uploaded online	

3	Attachment 3A-1 & 3A-2: Bidder's Qualifications Documents - Copies of Documentary evidence in support of the qualification criteria as per ITB Clause No . 5.4.	To be uploaded online duly filled in the form at provided and verified by TPIA/ISA (As applicable)	
3.1	APPENDIX-A OR APPENDIX-B TO ATTACHMENT - 3A-1: Undertaking duly certified and verified documents from their Statutory Auditors or specified TPIA in support of meeting Technical QR as required	To be uploaded online duly filled in provided format	
4	Attachment 4 : Sub-contractor proposed by Bidder	Not Applicable for this package	
5	Attachment 5 : Equipment/Machinery Deployment Schedule	Not Applicable for this package	
6	Attachment 6 : General Declaration	To be uploaded online duly filled in the form at provided	
7	Attachment 7: Electronic Fund Transfer (EFT) Authorization Form in case the bidder do not have vendor code allotted by NTPC	To be uploaded online duly filled in and authenticated from the concerned bank	
8	Attachment 8: Details of PF, ESI, PAN and GSTIN Regn	To be uploaded online duly filled	
9	Attachment 9 : Declaration regarding GST	To be declared through undertaking at Attachment-9 along with Bid Form	
10	Attachment 10 : Declaration on Local Content	To be uploaded online duly filled in the form at provided	
11	Attachment 12: Integrity Pact as per Performa (pre-signed by employer) duly signed & Stamped at each page <i>[if applicable]</i>	Applicable for this package , to be accepted through ATC document of the subject tender	
12	Attachment 13: Undertaking for compliance of Important Conditions of Tender	To be uploaded online duly filled in- along with Bid Form	
13	Schedule of Quantities & Prices i.e. Financial Bid (Price Bid)	To be filled online on GeM portal	

Submission of bid on GeM Portal shall be deemed as bidder's unconditional acceptance to all the following Attributes (GTE's):

ATTACHMENT-13		
Sl. No.	Undertaking Type	Provisions of Undertaking
1.	Nil Deviation Certificate	<p>No deviation, whatsoever, is permitted by the Employer to the provisions of Bidding Documents. The Bidders are advised that while making their Bid proposals and quoting prices, all conditions may appropriately be taken into consideration. Bidders are required to certify their full compliance to the complete Bidding Documents and its subsequent Amendment(s) / Clarification(s) / Addenda / Errata, if any, issued by the Employer by accepting the following condition:</p> <p>“Do you certify full compliance to all Provisions of Bid Doc”</p> <p>Acceptance of above condition shall be considered as Bidder's confirmation that:</p> <p>(1) any deviation to the any Provisions found anywhere in their Bid Proposal, implicit or explicit, shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which the bid security shall be forfeited.</p> <p>(2) Bidder has read the contents of Debarment Policy (version mentioned in ITB/SC C) displayed on the website www.ntpc.co.in / www.ntpctender.ntpc.co.in and agreed to abide by this policy.</p> <p>a) Bidder has not been Banned / Blacklisted as on date of submission of bid by Ministry of Power or Deptt. Of Expenditure, Ministry of Finance.</p> <p>b) Bidder has not employed any public servant dismissed / removed or person convicted for an offence involving corruption or abetment of such offences.</p> <p>c) Bidder's Director(s) / Owner(s) / Proprietor / Partner(s) have not been convicted b</p>

y any court of law for offences involving corrupt and fraudulent practices including moral turpitude in relation to business dealings with Government of India or NTPC or NTPC's group companies during the last five years.

(2) Bidder further confirms as under:

that if at any point subsequent to award of Contract, the declarations given above are found to be incorrect, NTPC / Employer shall have the full right to terminate the Contract and take any action as per applicable laws for breach of contract including forfeiture of Bid Security/Performance Bank Guarantee.

The version of Policy for Debarment from Business Dealings presently followed by NTPC is **Rev-4**.

Anti-Bribery and Anti-Corruption (ABAC) Policy:

The Bidder and its employees along with its Associate/ Collaborator/ Sub-Contractors / Sub-Vendors / Consultants / Service Providers and all other persons associated with business of Employer shall strictly adhere to Anti-Bribery and Anti-Corruption (ABAC) Policy of Employer displayed on tender website <https://ntpctender.ntpc.co.in/>.

Bidders shall certify their compliance on “Anti-Bribery and Anti-Corruption (ABAC) Policy” of Employer by accepting the following GTE at the e-Tender Portal:

“Do you certify full compliance to all provisions of Bidding Document?”

Acceptance of General Technical Evaluation (GTE) of the Tender at e-Tender Portal shall be considered as bidder's confirmation that they and their employees along with their associate / collaborator/ subcontractors / sub vendors / consultants / service providers shall strictly abide by “Anti-Bribery and Anti-Corruption (ABAC) Policy” of Employer as displayed on tender website at <https://ntpctender.ntpc.co.in/> under section ‘policy docs’ and undertake that they represent and confirm that they are aware of, understand, and will comply with all applicable laws and regulations relat

		ing to anticorruption and anti-bribery and the ABAC Policy of Employer.
2.	Declaration on Qualifying Requirements	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you certify full compliance on Qualifying Requirements”</p> <p>Acceptance of above condition shall be considered as bidder's confirmation to the following:</p> <p>a) The number of reference Plants/Orders quoted by Bidder in the bid, for establishing compliance to the specified Qualifying Requirement (QR), are in accordance with the provision specified above.</p> <p>b) The reference Plants/ Orders/ declared, shall only be considered for evaluation/ establishing compliance to Qualifying Requirement (QR).</p> <p>c) No change or substitution in respect of reference Plants/Orders for meeting the specified Qualifying Requirement (QR) shall be offered by the bidder.</p>
3.	Declaration on Safety Policy	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept the Safety Policy of NTPC”.</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by Safety Policy of the Employer as per the provisions of ITB Sub-Clause 11.4.10.</p>

4.	Declaration on Debarment from Business Dealings Policy	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept Debarment from Business Dealings Policy of NTPC”</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by Banning Policy of the Employer displayed on NTPC's website http://www.ntpctender.com indicating his compliance to the provisions of ITB Sub-Clause 32.</p>
5.	Declaration on Fraud Prevention Policy	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you accept the Fraud Prevention Policy of NTPC”.</p> <p>Acceptance of above condition shall be considered as bidder's confirmation that they shall abide by Fraud Prevention Policy of the Employer displayed on NTPC's website http://www.ntpctender.com indicating his compliance to the provisions of ITB Sub-Clause 29.</p>

6.	Declaration on Local Content	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Confirm that you are a LOCAL SUPPLIER, and the LOCAL CONTENT included in the PACKAGE FOR COMPLETE SCOPE OF WORK meets the MINIMUM LOCAL CONTENT requirements of the Tender.”</p> <p>Acceptance of above condition shall be considered as Bidder’s confirmation that Bidder is meeting the requirement of fulfill the requirements of Local content for Class-I Local Supplier.</p> <p>In case aforesaid Confirmation/Certificate furnished by Contractor/Vendor is not in line with the declaration in respect of Local content in their bid, same shall be treated as false declaration and will be dealt in line with the Fraud Prevention Policy of NTPC.</p>
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7.	<p>Declaration on Restrictions on procurement from a Bidder of a country which shares a land border with India</p>	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you certify full compliance on clause as per tender Documents on Restrictions on procurement from a Bidder of a country which shares a land border with India?” / “Do you certify full compliance to all provisions of Bid Documents?”</p> <p>Acceptance of above condition shall be considered as Bidder's confirmation that Bidder has read and understood the ITB Clause regarding “Restrictions on procurement from a Bidder of a country which shares a land border with India” and its bid is in compliance to this clause.</p> <p>In case it is established that Bidder has provided any false information in pursuance of the aforesaid ITB Clause, while competing for this contract, then its bid shall be rejected.</p> <p>In case of a successful bidder, if it is established that the Bidder has not complied with terms of aforesaid ITB Clause, during execution of contract, this would be a sufficient ground for immediate termination of the contract as per GCC Clause titled ‘Termination for Contractor’s Default’ and shall be dealt accordingly.</p>
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8.	Submission of Integrity Pact	<p>Bidders are required to confirm acceptance of the same by accepting the following condition:</p> <p>“Do you Commit to all the provisions of the Integrity Pact?”</p> <p>On Bidder’s acceptance to the above attribute, Bidder / JV Partner(s)/ Consortium members confirm to have read, understood and unconditionally accept & commit to all the contents, terms, conditions and undertakings mentioned in the Integrity Pact which has been pre-signed by the Employer and enclosed with the Bidding Documents. On Acceptance of the above attribute, Integrity Pact shall be considered signed by the Bidder / JV Partner(s)/ Consortium members and the same shall come into force from the date of submission of bid.</p>
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Signature.....

Name of Authorized person having power

of Attorney.....

Designation.....

Common Seal.....

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8.0 Instructions for submission of EMD for the subject Tender :

(1) EMD to be submitted in the form of BG as per format attached in the subject tender document (Please refer section-VII-Forms & Procedures for EMD BG Format). A copy of EMD BG is to be uploaded on GeM Portal during Bid submission. Hard copy of EMD BG shall reach to following address within **5 days** from Bid opening on GeM Portal:

Reshu Singh, Sr.Manager (C&M),
 NTPC Limited,
 CPG-1, Plot No. -87, Sector-24, Atal Nagar,
 Nava Raipur, Raipur, Chhattisgarh,

In case Hard copy of BG is not received within the stipulated time, Bid is liable to be rejected.

The BG towards EMD shall remain valid for a period of forty-five (45) days beyond the original Bid validity period or beyond any extension in the period of Bid validity subsequently requested.

(2) While issuing the physical BGs, the Bidder's Bank shall also send electronic message through secure SFMS (in case of BGs issued from within India) or SWIFT (in case of BGs issued from outside India) to Employer's Beneficiary Bank whose details are provided herein below:

(i) Bank Name: ICICI Bank Limited

(ii) Branch: CONNAUGHT PLACE BRANCH

(iii) Bank Address: 9A, PHELPS BUILDING, INNER CIRCLE, NEW DELHI- 110001

(iv) IFSC Code: ICIC0000007

BG issuing/amending bank must send the BG advice in the form of message format via SFMS (Structured Financial Messaging System) as provided by RBI.

The format of the message for confirmation of the BG shall be as below: BG advising message	IFN 760COV/IFN 767COV via SFMS
Field Number	7037
Particulars (to be mentioned in Row 1)	NTPCBG (unique identifier)

(3) **EMD exemption**: Micro and Small Enterprises (MSEs) having UDYAM Registration, for goods produced and services rendered, shall be exempted from paying Earnest Money Deposit. Such registered bidders will have to submit **UDYAM Registration Certificate only**, as a proof of being MSE on GeM Portal. **Other MSE certificate will not be considered as a proof of being MSE.**

DECLARATION REGARDING GST

Bidders Name & Address:

**To,
NTPC LTD**

.....

.....

Declaration Regarding GST

A. Applicable GST

We hereby declare & confirm that we have considered the following GST % while quoting the price in the BOQ. **Our GST% mentioned below is inclusive in our Bid Price.**

Sl.No	Item Description	HSN Code	GST Rate (%)
1.			

Note : During execution of the contract applicable GST shall be paid /reimbursed by the Employer on submission of (GSTIN) Invoice.

Place:

Date:

Signature.....
Name.....
.....
Designation.....
.....
Company Seal.....
.....

INTEGRITY PACT

Bid No : GEM/2023/B/4038008

Between

NTPC Ltd., a Govt. of India Enterprise (hereinafter referred to as "The Employer")

and

..... (hereinafter referred to as "The Bidder/Contractor ")

and

..... (hereinafter referred to as "JV Partner/ Consortium Members" (if applicable))

Preamble

The Employer invites the bids from all eligible bidders and intends to enter into Contract for with the successful bidder(s), as per organizational systems and procedures. The Employer values full compliance with all relevant laws and regulations, and the principles of economical use of resources, and of fairness and transparency in its relations with its Bidder(s) and/or Contractor(s). In order to achieve these goals, the Employer will appoint Independent External Monitor(s) (IEM), who will monitor the bidding process and the execution of the Contract for compliance with the principles mentioned above.

Section 1 Commitments of the Employer

1. The Employer Commits itself to take all measures necessary to prevent corruption and to observe the following principles in this regard:-

a) No employee of the Employer, either in person or through family members including relatives, will in connection with the bidding for or the execution of a Contract, demand or accept a promise for or a gift for him/herself or for a third person, any material or immaterial benefit to which he/she is not legally entitled to.

b) The Employer shall, during the bidding process treat all Bidders with equity and reason. The Employer will, in particular, before and during the bidding process, provide to all Bidders the same information and will not provide to any Bidder confidential/additional information through which the Bidder(s) could obtain an advantage in relation to the bidding process or the Contract execution.

c) The Employer will exclude from the process all known prejudiced persons.

2. If the Employer obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act or if there be a substantive suspicion in this regard, the Employer will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

Section 2 Commitments and Undertakings by the Bidder/Contractor

1. The Bidder/Contractor commits and undertakes to take all measures necessary to prevent malpractice

ces & corruption. He commits himself to observe the following principles during his participation in the bidding process and during the execution of the contract:

a) The Bidder/ Contractor undertakes not to, directly or through any other person or firm offer, promise or give or influence to any employee of the Employer associated with the bidding process or the execution of the contract or to any third person on their behalf any material or immaterial benefit which he /she is not legally entitled, in order to obtain in exchange any advantage of any kind whatsoever during the bidding process or during the execution of the contract.

b) The Bidder/ Contractor undertake not to enter into any undisclosed agreement or understanding, whether formal or informal with other Bidders. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other action to restrict competitiveness or to introduce cartelization in the bidding process.

c) The Bidder/Contractor undertakes not to commit any offence under the relevant Anti-corruption Law of India; further the Bidder/Contractor will not use improperly, any information or document provided by the Employer as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically for purposes of competition or personal gain and will not pass the information so acquired on to others.

d) The Bidder/ Contractor, when presenting his bid, undertakes to disclose any and all payments made, or is committed to or intends to make to agents, brokers or any other intermediaries in connection with the bidding process and / or award of the contract.

e) The Foreign Bidder/ Contractor, when presenting his bid, undertakes to disclose the name and address of agents and representative in India. Further, Indian Bidder/ Contractor when presenting his bid, undertakes to disclose the name and address of its foreign principals or associates.

2. The Bidder/ Contractor will not instigate and allure third persons/parties to commit offences outlined above or be an accessory to such offences.

Section 3 Disqualification from Bidding Process and Exclusion from Future Contracts

1. If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of any provisions of Section 2 so as to put his reliability or credibility as Bidder into question, the Employer shall be entitled to disqualify the Bidder(s)/ Contractor(s) from the bidding process or to terminate the contract, if signed on that ground.

2. If the Bidder/ Contractor has committed a transgression through a violation of Section 2 such as to put his reliability or credibility into question, the Employer shall be entitled to exclude including blacklist and put on holiday the Bidder/ Contractor for any future tenders/contract award process. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the Employer taking into consideration the full facts and circumstances of each case particularly taking into account the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder and the amount of the damage. The exclusion will be imposed for a period not exceeding two (02) years.

3. A transgression is considered to have occurred if the Employer after due consideration of the available

le evidence concludes that no reasonable doubt is possible.

4. The Bidder with its free consent and without any influence agrees and undertakes to respect and uphold the Employer's absolute rights to resort to and impose such exclusion and further accepts and undertakes not to challenge or question such exclusion on any ground, including the lack of any hearing before the decision to resort to such exclusion is taken. This undertaking is given freely and after obtaining independent legal advice.

5. Subject to full satisfaction of the Employer, the exclusion of Bidder/ Contractor could be revoked by the Employer if the Bidder/ Contractor can prove that he has restored/ recouped the damage caused by him and has installed a suitable corruption prevention system in his organization.

Section 4 Compensation for Damages including Forfeiture of Earnest Money Deposit/ Security Deposit/ Performance & Advance Bank Guarantees

1. If the Employer has disqualified the Bidder/ Contractor from the bidding process or has terminated the contract pursuant to Section 3, the Employer shall forfeit the Earnest Money Deposit/Bid Security, and Cash Contract Performance Bank Guarantees in addition to excluding the bidder from the future award process and terminating the contract.

2. In addition to 1 above, the Employer shall be entitled to take recourse to the relevant provisions of the contract related to Termination of Contract due to Contractor's Default.

Section 5 Previous Transgressions

1. The Bidder swears on oath that no previous transgression impinging on anti-corruption principles / any malpractice as mentioned in Section-2 has occurred in the last three years immediately before signing of this Integrity Pact, with any other company / any Public Sector Enterprise/ Undertaking in India / any Government Department in India.

2. If the Bidder makes incorrect statement on previous transgression as mentioned above in para 1, Bidder can be disqualified from the bidding process or the contract, if already awarded, can be terminated on this ground

Section 6 Company Code of Conduct

Bidders are also advised to have a company code of conduct (clearly rejecting the use of bribes and other unethical behavior) and a compliance program for the implementation of the code of conduct throughout the company.

Section 7 Independent External Monitors (IEM)

1. The Employer will appoint competent and credible Independent External Monitor for this Pact. The task of the IEMs is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.

2. The IEMs are not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He shall report to CMD of the Employer or a person authorized by him.

3. The Bidder/Contractor accepts that the IEMs have the right to access without restriction to all Project documentations of the Employer including that provided by the Contractor. The Contractor will also grant the IEMs, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his Project Documentations. The same is applicable to Subcontractors. The IEMs are under contractual obligation to treat the information and documents of the Bidder / Contractor / Sub-Contractors / JV partners/Consortium member with confidentiality.

4. The Employer will provide to the IEMs sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Employer and the Contractor. The parties offer to the IEMs the option to participate in such meetings.

5. As soon as the IEMs notices, or believes to notice, a violation of this agreement, he will so inform the Management of the Employer (CMD of the Employer or a person authorized by him) and request to discontinue or to take corrective action, or to take other relevant action. The IEMs can in this regard submit non-binding recommendations. Beyond this, the IEMs has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action. However, Independent External Monitor shall give an opportunity to the bidder/contractor to present its case before making its recommendations to the Employer.

6. The IEMs will submit a written report to CMD of the Employer or a person authorized by him within 30 days from the date of reference or intimation to him by the Employer and, should the occasion arise, submit proposals for correcting problematic situations.

7. The Bidder / Contractor accepts that they shall not approach courts while the matter / complaint / dispute has been referred to the IEM in terms of this pact and they shall await IEM's decision before approaching any Court.

8. If the IEMs have reported to CMD of the Employer or a person authorized by him a substantiated suspicion of an offence under relevant IPC/ PC Act, and he has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the IEMs may also transmit this information directly to the Central Vigilance Commissioner, Government of India.

9. The word " IEM" will include Singular or Plural.

Section 8 Pact Duration

This Pact comes into force from the date of signing by all the parties. It shall expire for the Contractor 12 months after the last payment under the respective Contract, and for all other unsuccessful bidders 6 months after the Contract has been awarded.

Section 9 Miscellaneous Provisions

1. This Pact is subject to Indian Law. The place of performance and jurisdiction shall be New Delhi.

2. Should one or several provisions of this Pact turn out to be invalid; the remainder of this Pact remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

3. The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

4. If the Contractor is a JV partnership / Consortium, this agreement must be signed by all the partners of JV / Consortium Partners as the case may be.

The Parties hereby sign this Integrity Pact aton this day of.....20....

Employer	Bidder/ Contractor	Joint Venture Partner(s)/ Consortium member(s) (As Applicable)
Witness	Witness	Witness
1. _____	1. _____	1. _____
2. _____	2. _____	2. _____

NAME OF WORK :

BIDDING DOCUMENT NO.

**(NO DEVIATION CERTIFICATE)
(ACCEPTANCE OF FULLY COMPLAINT BID)**

1. With reference to our Bid Proposal for **BIDDING DOCUMENT NO.**.....
.....

, we hereby confirm that we comply with all terms, conditions and specifications of the Bidding Document read in conjunction with Amendment(s) / Clarification(s) / Addenda/Errata (if any) issued by the Employer prior to opening of Techno-Commercial Bids and the same has been taken into consideration while making our Techno- Commercial Bid & Price Bid and **we declare that we have not taken any deviation in this regard.**

2. We further confirm that any deviation, variation or additional conditions etc or any mention, contrary to the Bidding Documents and its Amendment(s)/Clarification(s)/Addenda / Errata (if any) as mentioned at 1.0 above found anywhere in our Techno-Commercial Bid and/or Price Bid, implicit or explicit, shall stand unconditionally withdrawn, without any cost implication whatsoever to the Employer, failing which the Bid Security shall be forfeited.

Date :

(Signature).....

Place :
ed Name).....

(Print

(Designation).....

(Common seal).....

NAME OF WORK :

BIDDING DOCUMENT NO.

COMPLIANCE ON QUALIFYING REQUIREMENT

Dear Sir

1.0 With Reference to our Bid Proposal No. dated _____, **DOCUMENT NO.** _____, We hereby declare that, the reference works mentioned by us in Attachment No. 3 of the bid, for establishing compliance to the specified Qualifying Requirement (QR), are true to the best of my Knowledge.

Date :

Name of Authorised Person

Place :

Designation

ATTACHMENT -

.....PACKAGE

FOR

..... THERMAL POWER PROJECT,

BID DOCUMENT NO. CS-.....

(Form of Acceptance of NTPC Safety Rules)

Dear Sirs,

We have read the contents of the NTPC Safety Rules displayed on its tender website <http://www.ntpctender.com> and undertake that we along with our associate/ collaborator/sub contractors/ sub-vendors/ consultants/ service providers shall strictly abide by the provisions of the NTPC Safety Rules.

Date :

Name of Authorised Person

Place :

Designation

ATTACHMENT -

.....PACKAGE

FOR

..... THERMAL POWER PROJECT,

BID DOCUMENT NO. CS-.....

(FORM OF ACCEPTANCE OF FRAUD PREVENTION POLICY)

Dear Sirs,

We have read the contents of the Fraud Prevention Policy of NTPC displayed on its tender website <http://www.ntpctender.com> and undertake that we along with our associate/collaborator/subcontractors/subvenders/consultants/service providers shall strictly abide by the provisions of the said Fraud Prevention Policy of NTPC.

Date :

Name of Authorized Person

Place :

Designation

ATTACHMENT - XX

..... **PACKAGE**

FOR

..... **PROJECT**

BIDDING DOCUMENT NO.

(Adherence to Employer’s Anti-Bribery and Anti-Corruption (ABAC) Policy)

We and our employees along with our associate / collaborator/ subcontractors / sub vendors / consultants / service providers shall strictly abide by ‘Anti-Bribery and Anti-Corruption (ABAC) Policy’ of Employer as displayed on tender website at <https://ntpc.tender.ntpc.co.in/> under section ‘policy docs’ and undertake that we represent and confirm that we are aware of, understand, and will comply with all applicable laws and regulations relating to anti-corruption and anti-bribery and the ABAC Policy of Employer . In addition, each Party agrees that so long as it is conducting business with the other Party or the other Party’s affiliates, it will not, directly or indirectly, on behalf of the other Party or the other Party’s affiliates promise, offer, solicit, authorize, give or receive bribe, or other corrupt payment, item or service of value, or any other corrupt advantage, whether in cash or in kind, in relation to the participation in the tender.

Date : Name of Authorised Person

Place : Designation

ATTACHMENT -

Page 1 of 1

.....PACKAGE

FOR

..... THERMAL POWER PROJECT,

BID DOCUMENT NO. CS-.....

(DECLARATION ON DEBARMENT POLICY)

Dear Sirs

- 1) We have read the contents of the Debarment Policy of NTPC attached with this Bidding Document and agree to abide by this policy. Further, in terms of requirement under Debarment Policy we hereby declare the following:
- a) We have not been Debarred/Banned / Blacklisted as on date of submission of bid by Ministry of Power or Government of India.
 - b) We have not employed any public servant dismissed / removed or person convicted for an offence involving corruption or abetment of such offences
 - c) Our Director(s)/Owner(s)/Proprietor/Partner(s) have not been convicted by any court of law for offences involving corrupt and fraudulent practices including moral turpitude in relation to business dealings with Government of India or NTPC or NTPC's group companies during the last five years.
- 2) We further declare as under:

that if at any point subsequent to award of Contract, the declarations given above are found to be incorrect, NTPC Limited shall have the full right to terminate the contract and take any action as per applicable laws for breach of contract including forfeiture of Bid Security / Performance Bank Guarantee.

Date : Name of Authorised Person

Place : Designation

ATTACHMENT-11

Declaration of local content

Dear Sirs,

(i) We confirm that we fulfill the requirements of Local content for Class-I local supplier.

The details of the location(s) at which the local value addition are as under:

Sl.No	Description of Goods & Services	Details of the location(s) at which the local value addition is made
	As per BOQ & scope of work	NTPC site

(ii) We confirm that we fulfill the requirements of Local content for Class-I local supplier for Item(s) mentioned in Technical Specifications, as applicable. We further confirm that in case such item(s) are bought-out for us, we shall source the same from Class-I local supplier only.

#We undertake that a certificate from the statutory auditor or cost auditor (in the case the bidder is a company) or from a practicing cost accountant or practicing chartered accountant (in respect of bidders other than companies) certifying the percentage of local contents shall be submitted by us prior to submission of our last bill for payment.

##We further confirm that we are presently not debarred / banned by any other procuring entity for violation of 'Public Procurement (Preference to Make in India), Order 2017' (PPP-M II Order) dated 15.06.2017 and its subsequent revisions / amendments issued by Department for Promotion of Industry and Internal trade (DPIIT)."

#[Applicable In case the total bid price of the supplier / bidder is in excess of INR 10 crore.]

##In case a Bidder has been banned/debarred by any other procuring entity for violation of 'Public Procurement (Preference to Make In India), Order 2017' (PPP- MII Order) dated 15.06.2017 and its subsequent re

visions / amendments issued by Department of Industrial Policy and Promotion (DIPP), the same may be declared by Bidder by striking off para above and declaring the details of banning using additional sheets.

Bidder may also enclose additional sheets in similar format (if required), for providing details pertaining to local value addition.

Yours truly,

Date

Signature.....

Place

Name.....

.....

tion

Designa

f Bidder

Name o

Seal-----

3. Buyer Added Bid Specific ATC

Buyer uploaded ATC document [Click here to view the file.](#)

Disclaimer/अस्वीकरण

The additional terms and conditions have been incorporated by the Buyer after approval of the Competent Authority in Buyer Organization, whereby Buyer organization is solely responsible for the impact of these clauses on the bidding process, its outcome, and consequences thereof including any eccentricity / restriction arising in the bidding process due to these ATCs and due to modification of technical specifications and / or terms and conditions governing the bid. Any clause(s) incorporated by the Buyer regarding following shall be treated as null and void and would not be considered as part of bid:-

1. Definition of Class I and Class II suppliers in the bid not in line with the extant Order / Office Memorandum issued by DPIIT in this regard.
2. Seeking EMD submission from bidder(s), including via Additional Terms & Conditions, in contravention to exemption provided to such sellers under GeM GTC.
3. Publishing Custom / BOQ bids for items for which regular GeM categories are available without any Category item bunched with it.
4. Creating BoQ bid for single item.
5. Mentioning specific Brand or Make or Model or Manufacturer or Dealer name.
6. Mandating submission of documents in physical form as a pre-requisite to qualify bidders.
7. Floating / creation of work contracts as Custom Bids in Services.
8. Seeking sample with bid or approval of samples during bid evaluation process.
9. Mandating foreign / international certifications even in case of existence of Indian Standards without specifying equivalent Indian Certification / standards.
10. Seeking experience from specific organization / department / institute only or from foreign / export experience.
11. Creating bid for items from irrelevant categories.
12. Incorporating any clause against the MSME policy and Preference to Make in India Policy.
13. Reference of conditions published on any external site or reference to external documents/clauses.

14. Asking for any Tender fee / Bid Participation fee / Auction fee in case of Bids / Forward Auction, as the case may be.

Further, if any seller has any objection/grievance against these additional clauses or otherwise on any aspect of this bid, they can raise their representation against the same by using the Representation window provided in the bid details field in Seller dashboard after logging in as a seller within 4 days of bid publication on GeM. Buyer is duty bound to reply to all such representations and would not be allowed to open bids if he fails to reply to such representations.

This Bid is governed by the [General Terms and Conditions/सामान्य नियम और शर्तें](#), conditions stipulated in Bid and [Service Level Agreement](#) specific to this Service as provided in the Marketplace. However in case if any condition specified in General Terms and Conditions/सामान्य नियम और शर्तें is contradicted by the conditions stipulated in Service Level Agreement, then it will over ride the conditions in the General Terms and Conditions.

In terms of GeM GTC clause 26 regarding Restrictions on procurement from a bidder of a country which shares a land border with India, any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority. While participating in bid, Bidder has to undertake compliance of this and any false declaration and non-compliance of this would be a ground for immediate termination of the contract and further legal action in accordance with the laws./जेम की सामान्य शर्तों के खंड 26 के संदर्भ में भारत के साथ भूमि सीमा साझा करने वाले देश के बिडर से खरीद पर प्रतिबंध के संबंध में भारत के साथ भूमि सीमा साझा करने वाले देश का कोई भी बिडर इस निविदा में बिड देने के लिए तभी पात्र होगा जब वह बिड देने वाला सक्षम प्राधिकारी के पास पंजीकृत हो। बिड में भाग लेते समय बिडर को इसका अनुपालन करना होगा और कोई भी गलत घोषणा किए जाने व इसका अनुपालन न करने पर अनुबंध को तत्काल समाप्त करने और कानून के अनुसार आगे की कानूनी कार्यवाई का आधार होगा।

---Thank You/धन्यवाद---

Appendix-A

CERTIFICATE FOR COMPLIANCE OF THE PUBLIC PROCUREMENT (PREFERENCE TO MAKE IN INDIA) 'PPP-MII' ORDER

*It is hereby certified that all the guidelines circulated by NTPC in pursuance of Public Procurement (Preference to Make in India) 'PPP-MII' Orders of DPIIT/MoP have been complied with in tender No. **GEM/2023/B/4038008** for BIENNIAL HK,MR,ASH WORK ST-1,2 & OFF SITE AT NTPC MOUDA (*Name of Tendered work*)

Or

~~*It is hereby certified that tender No. for (Name of Tendered Work) falls under the exempted category of tender as per the guidelines circulated by NTPC in pursuance of Public Procurement (Preference to Make in India) 'PPP-MII' Orders issued by DPIIT / MoP.~~

Or

~~*It is hereby certified that tender No. for(Name of Tendered Work)....., pertains to goods/services/works for which exemption in Minimum local content requirement has been granted by MoP (or concerned Authority) vide OM / Letter No.@..... dated..... Accordingly, all the guidelines circulated by NTPC in pursuance of Public Procurement (Preference to Make in India) 'PPP-MII' Orders issued by DPIIT/MoP, except those related to MLC requirement, have been complied with for the subject tender.~~

~~**@ relevant OM / Letter issued by MoP (or concerned authority) granting exemption in Minimum Local Content may be mentioned**~~

(* Strike-off whichever is not applicable)

**HEMAN
T RAUT** Digitally signed
by HEMANT RAUT
Date: 2023.10.12
10:18:10 +05'30'

(Signature)

Concerned C&M Coordinator
(not below the level of DGM)

INTEGRITY PACT

Bid No : GEM/2023/B/4038008

Between

NTPC Ltd., a Govt. of India Enterprise (hereinafter referred to as "The Employer")

and

..... (hereinafter referred to as "The Bidder/Contractor ")

and

..... (hereinafter referred to as "JV Partner/ Consortium Members"
(if applicable)

Preamble

The Employer invites the bids from all eligible bidders and intends to enter into Contract for with the successful bidder(s), as per organizational systems and procedures. The Employer values full compliance with all relevant laws and regulations, and the principles of economical use of resources, and of fairness and transparency in its relations with its Bidder(s) and/or Contractor(s). In order to achieve these goals, the Employer will appoint Independent External Monitor(s) (IEM), who will monitor the bidding process and the execution of the Contract for compliance with the principles mentioned above.

Section 1 Commitments of the Employer

1. The Employer Commits itself to take all measures necessary to prevent corruption and to observe the following principles in this regard:-

a) No employee of the Employer, either in person or through family members including relatives, will in connection with the bidding for or the execution of a Contract, demand or accept a promise for or accept for him/herself or for a third person, any material or immaterial benefit to which he/she is not legally entitled to.

b) The Employer shall, during the bidding process treat all Bidders with equity and reason. The Employer will, in particular, before and during the bidding process, provide to all Bidders the same information and will not provide to any Bidder confidential/additional information through which the Bidder(s) could obtain an advantage in relation to the bidding process or the Contract execution.

c) The Employer will exclude from the process all known prejudiced persons.

2. If the Employer obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act or if there be a substantive suspicion in this regard, the Employer will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

Section 2 Commitments and Undertakings by the Bidder/Contractor

1. The Bidder/Contractor commits and undertakes to take all measures necessary to prevent malpractices & corruption. He commits himself to observe the following principles during his participation in the bidding process and during the execution of the contract:

a) The Bidder/ Contractor undertakes not to, directly or through any other person or firm offer, promise or give or influence to any employee of the Employer associated with the bidding process or the execution of the contract or to any third person on their behalf any material or immaterial benefit which he/she is not legally entitled, in order to obtain in exchange any advantage of any kind whatsoever during the bidding process or during the execution of the contract.

b) The Bidder/ Contractor undertake not to enter into any undisclosed agreement or understanding, whether formal or informal with other Bidders. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other action to restrict competitiveness or to introduce cartelization in the bidding process.

c) The Bidder/Contractor undertakes not to commit any offence under the relevant Anti-corruption Laws of India; further the Bidder/Contractor will not use improperly, any information or document provided by the Employer as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically for purposes of competition or personal gain and will not pass the information so acquired on to others.

d) The Bidder/ Contractor, when presenting his bid, undertakes to disclose any and all payments made, or is committed to or intends to make to agents, brokers or any other intermediaries in connection with the bidding process and / or award of the contract.

e) The Foreign Bidder/ Contractor, when presenting his bid, undertakes to disclose the name and address of agents and representative in India. Further, Indian Bidder/ Contractor when presenting his bid, undertakes to disclose the name and address of its foreign principals or associates.

2. The Bidder/ Contractor will not instigate and allure third persons/parties to commit offences outlined above or be an accessory to such offences.

Section 3 Disqualification from Bidding Process and Exclusion from Future Contracts

1. If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of any provisions of Section 2 so as to put his reliability or credibility as Bidder into question, the Employer shall be entitled to disqualify the Bidder(s)/ Contractor(s) from the bidding process or to terminate the contract, if signed on that ground.

2. If the Bidder/ Contractor has committed a transgression through a violation of Section 2 such as to put his reliability or credibility into question, the Employer shall be entitled to exclude including blacklist and put on holiday the Bidder/ Contractor for any future tenders/contract award process. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the Employer taking into consideration the full facts and circumstances of each case particularly taking into account the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder and the amount of the damage. The exclusion will be imposed for a period not exceeding two (02) years.

3. A transgression is considered to have occurred if the Employer after due consideration of the available evidence concludes that no reasonable doubt is possible.

4. The Bidder with its free consent and without any influence agrees and

undertakes to respect and uphold the Employer's absolute rights to resort to and impose such exclusion and further accepts and undertakes not to challenge or question such exclusion on any ground, including the lack of any hearing before the decision to resort to such exclusion is taken. This undertaking is given freely and after obtaining independent legal advice.

5. Subject to full satisfaction of the Employer, the exclusion of Bidder/ Contractor could be revoked by the Employer if the Bidder/ Contractor can prove that he has restored/ recouped the damage caused by him and has installed a suitable corruption prevention system in his organization.

Section 4 Compensation for Damages including Forfeiture of Earnest Money Deposit/ Security Deposit/ Performance & Advance Bank Guarantees

1. If the Employer has disqualified the Bidder/ Contractor from the bidding process or has terminated the contract pursuant to Section 3, the Employer shall forfeit the Earnest Money Deposit/Bid Security, encash Contract Performance Bank Guarantees in addition to excluding the bidder from the future award process and terminating the contract.

2. In addition to 1 above, the Employer shall be entitled to take recourse to the relevant provisions of the contract related to Termination of Contract due to Contractor's Default.

Section 5 Previous Transgressions

1. The Bidder swears on oath that no previous transgression impinging on anti-corruption principles / any malpractice as mentioned in Section-2 has occurred in the last three years immediately before signing of this Integrity Pact, with any other company / any Public Sector Enterprise/ Undertaking in India / any Government Department in India.

2. If the Bidder makes incorrect statement on previous transgression as mentioned above in para 1, Bidder can be disqualified from the bidding process or the contract, if already awarded, can be terminated on this ground

Section 6 Company Code of Conduct

Bidders are also advised to have a company code of conduct (clearly rejecting the use of bribes and other unethical behavior) and a compliance program for the implementation of the code of conduct throughout the company.

Section 7 Independent External Monitors (IEM)

1. The Employer will appoint competent and credible Independent External Monitor for this Pact. The task of the IEMs is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.

2. The IEMs are not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He shall report to CMD of the Employer or a person authorized by him.

3. The Bidder/Contractor accepts that the IEMs have the right to access without restriction to all Project documentations of the Employer including that provided by the Contractor. The Contractor will also grant the IEMs, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his Project Documentations. The same is applicable to Subcontractors. The IEMs are under contractual obligation to treat the information and documents of the Bidder

/ Contractor / Sub-Contractors/ JV partners/Consortium member with confidentiality.

4. The Employer will provide to the IEMs sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Employer and the Contractor. The parties offer to the IEMs the option to participate in such meetings.

5. As soon as the IEMs notices, or believes to notice, a violation of this agreement, he will so inform the Management of the Employer (CMD of the Employer or a person authorized by him) and request to discontinue or to take corrective action, or to take other relevant action. The IEMs can in this regard submit non-binding recommendations. Beyond this, the IEMs has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action. However, Independent External Monitor shall give an opportunity to the bidder/contractor to present its case before making its recommendations to the Employer.

6. The IEMs will submit a written report to CMD of the Employer or a person authorized by him within 30 days from the date of reference or intimation to him by the Employer and, should the occasion arise, submit proposals for correcting problematic situations.

7. The Bidder / Contractor accepts that they shall not approach courts while the matter / complaint / dispute has been referred to the IEM in terms of this pact and they shall await IEM's decision before approaching any Court.

8. If the IEMs have reported to CMD of the Employer or a person authorized by him a substantiated suspicion of an offence under relevant IPC/ PC Act, and he has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the IEMs may also transmit this information directly to the Central Vigilance Commissioner, Government of India.

9. The word " IEM" will include Singular or Plural.

Section 8 Pact Duration

This Pact comes into force from the date of signing by all the parties. It shall expire for the Contractor 12 months after the last payment under the respective Contract, and for all other unsuccessful bidders 6 months after the Contract has been awarded.

Section 9 Miscellaneous Provisions

1. This Pact is subject to Indian Law. The place of performance and jurisdiction shall be New Delhi.

2. Should one or several provisions of this Pact turn out to be invalid; the remainder of this Pact remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

3. The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

4. If the Contractor is a JV partnership / Consortium, this agreement must be signed by all the partners of JV / Consortium Partners as the case may be.

The Parties hereby sign this Integrity Pact aton this day of.....20....

RESHU SINGH

Digitally signed by RESHU SINGH
Date: 2023.10.04 17:19:52 +05'30'

Employer

Bidder/ Contractor

Joint Venture Partner(s)/
Consortium member(s)
(As Applicable)

Witness

Witness

Witness

1. HEMANT RAUT Digitally signed by HEMANT RAUT
Date: 2023.10.04 17:38:55 +05'30'

1. _____

1. _____

2. TAPAS MISHRA Digitally signed by TAPAS MISHRA
Date: 2023.10.04 17:42:27 +05'30'

2. _____

2. _____