

**NTPC LIMITED  
(A GOVERNMENT OF INDIA ENTERPRISE)**

**UNIFIED SHARED SERVICE CENTRE, CPG-1, RAIPUR  
CONTRACT & MATERIAL DEPTT**

**NOTICE INVITING TENDER (NIT)**

**Name of work : Rate Contract for Transportation of Pond Ash from NTPC Mouda to Road and Construction Authorities.**

**(Domestic Competitive Bidding)**

I. NTPC invites on-line bids on **Single Stage Two Envelope Bidding basis (Envelope-I: Techno-commercial Bid & Envelope-II: Price Bid)** from Eligible Bidders for aforesaid package, as per the scope of work briefly mentioned hereinafter.

II. **Brief Scope of Work: Contract Proposal for “Rate Contract for Transportation of Pond Ash from NTPC Mouda to Road and Construction Authorities.”**

The detailed scope and description of work covered under this package has been specified in relevant clause of Detailed Technical Specification.

NTPC intends to finance subject Package through Domestic Commercial Borrowings/Own sources.

III. Detailed Specification, Scope of Work and Terms and Conditions are given in the bidding document, which are available for examination at our e Procurement Portal (<https://eprocurementpc.nic.in/nicgep/app>) and as per the following schedule:

Source of IFB/NIT	Central Procurement Group-1, Raipur
Mode of Tendering	Custom Bid Service on GeM Portal
Document Download Commencement Date & Time	<b>As per details at GeM Portal</b>
Last date for receipt of queries from bidders (if any)	
Last Date and Time for Bid submission	
Technical Bid Opening Date & Time	
Price Bid Opening Date & Time	Shall be intimated separately
Bid Security / EMD (INR)	<b>Rs. 50,00,000/- (Rupees Fifty Lakhs only)</b>
Benefit to MSME	<b>Applicable.</b> <i>The bidders may apprise themselves</i>

	<i>of the relevant provisions of bidding documents in this regard before submission of their bids.</i>
Rate Contract Period	<b>Twelve (12) Months and further extendable by another six(06) Months at the discretion of NTPC EIC.</b>
Pre-Bid Conference Date & Time (if any)	Shall be intimated separately
Reverse Auction	<b>Not Applicable</b>
Integrity Pact	<b>Applicable</b>
Splitting of Quantity	<b>Applicable.</b> <b>The bidders may apprise themselves of the relevant provisions of bidding documents in this regard before submission of their bids.</b>

**“No Queries from Bidders, whatsoever, shall be entertained by the Employer beyond the last date of receipt of Queries/ Pre-Bid Conference (if applicable) as specified on online portal.”**

As per provisions of Bidding Documents, the Bidders shall submit “Techno-Commercial Proposal” and “Price Proposal” online (<https://gem.gov.in>), within the bid submission date and time as mentioned above.

Note: For any corrigendum and extension of date of bid submission, please visit the website <https://gem.gov.in>.

- IV. All bids must be accompanied by Bid Security, Power of Attorney and Integrity Pact. **Integrity Pact shall be submitted as per instruction mentioned in ITB/ BDS.**

Bid Security shall be submitted in a sealed envelope separately in physical form by the stipulated bid submission closing date and time at the address given below.

**ANY BID NOT ACCOMPANIED BY AN ACCEPTABLE BID SECURITY AND INTEGRITY PACT SHALL BE REJECTED BY THE EMPLOYER AS BEING NON-RESPONSIVE AND SHALL NOT BE OPENED.**

- V. **Qualifying Requirements for Bidders:**

The bidder who wishes to participate in the bidding shall meet the Qualifying Requirements stipulated hereunder:

**1.0 TECHNICAL CRITERIA:**

The bidder should have executed the following work(s) with **“minimum executed value of Rs 32 Crs (INR Thirty Two Crores Only) within the preceding seven (07) years reckoned from the date of Techno-commercial bid opening, through any of the following routes:**

**ROUTE 1:**

**Construction of ‘Ash Dyke’/ ‘Embankment’/ ‘Earthen Dam’/ ‘Road’ / ‘Site Levelling’**

**Note for Route 1:**

Strengthening and widening of roads will also be considered under “construction of road”. However, works involving only repair and maintenance of road(s) will not be considered as “construction of road.”

**OR**

**ROUTE 2:**

**The Work of Transportation through Road (including loading/unloading) of 'Ash' / 'Any Earthen Material'/'Any Mineral'/'Coal'**

OR

**ROUTE 3:**

**The Work of "Earthwork including loading/unloading and transportation by road" in any Civil Works (other than those listed above in Route 1).**

**Note for Route 3:**

Under Route-3 above, a *Bidder* can submit bid citing details of Civil Works as their experience /Reference Works which are not covered under Route-1.

In such Civil Works (under Route-3), the executed value of only those BOQ Items shall be considered for the purpose of calculating "Executed Value" which are pertaining to "Earthwork including loading/unloading and transportation". Earthwork in respect of all kinds of soil, soft rock, hard rock shall be considered for the purpose of evaluation.

**Notes for Route 1 / Route 2 / Route 3**

1) In case of contract(s) under execution as on *date of Techno-commercial bid opening*, the value of work executed till such date will be considered.

2) For the PO/WO which were awarded prior to preceding 7 years *from the date of Techno-commercial bid opening*, the value of work executed in the preceding 7 years from the *date of Techno-commercial bid opening* will be considered.

3) Reference work executed by the bidder as a sub-contractor may also be considered provided the certificate issued by main contractor is duly certified by owner specifying the scope of work executed by the sub-contractor in support of qualifying requirements.

4) The **executed value** is defined here under:

**a) For Route1** The executed value shall mean the total value of work executed under a single Purchase Order/Work Order/Agreement under Route1.

**b) For Route2** The executed value shall mean the total value of work executed under a single Purchase Order/Work Order/Agreement under Route2. However, if the work of "Transportation through Road (including loading/unloading)" is part of some different nature of Work/ Purchase Order/Work Order/Agreement, then the total value of work executed in respect of similar Items will only be considered.

**c) For Route3** The executed value shall mean the total value of work executed in respect of BOQ items/works as stipulated under Route3 in a single Purchase Order/Work Order/Agreement.

**5). Reference work(s) executed by the bidder, as a member of joint Venture / Consortium / Associate can also be considered provided :**

a) The allocation of scope of work between the partners of the joint Ventures / consortium / Associate is clearly defined in the executed joint venture agreement / consortium agreement / deed of joint undertaking and the bidder's scope of work and break-up of quantities executed by them as individual contribution in the joint Venture / Consortium / Associate, duly authenticated by the Project Authority/Owner, meet the relevant provisions of eligibility criteria.

b) In case the reference work has been executed by the bidder in an integrated joint venture wherein allocation of scope of work and break-up of quantities between the partners is not clearly specified in the integrated joint venture Agreement, then for establishing the eligibility as per technical criteria, the credit of executed quantities can be claimed by the bidder in the ratio of bidder's share in the integrated joint Venture Agreement, provided the bidder establishes that it regularly undertakes works mentioned in Qualifying Requirements. The executed works/ quantities by the Integrated Joint Venture shall be duly authenticated by the Project Authority/owner.

## **2.0 FINANCIAL CRITERIA:**

The **Average Annual Financial Turnover (AATO)** of the bidder during preceding three (03) consecutive financial years as on the date of techno- commercial bid opening should not be less than **Rs 40Cr (INR Forty Crores Only)**.

**2.1** In case the Bidder does not satisfy the financial criteria, stipulated above on its own, its Holding Company would be required to meet the stipulated turnover requirements, provided that the Net Worth of such Holding Company as on the last day of the preceding financial year is at least equal to or more than the paid-up share capital of the Holding Company. In such an event, the Bidder would be required to furnish along with its **Techno-Commercial bid**, a Letter of Undertaking from the Holding Company, supported by the Holding Company's Board Resolution, as per the format enclosed in the Bid Documents, pledging unconditional & irrevocable financial support for the execution of the Contract by the Bidder in case of award.

**2.2 Net worth of the Bidder should not be less than 100% (hundred percent) of its paid-up share capital** as on the last day of the preceding Financial year reckoned from the date of Techno-commercial bid opening.

In case the Bidder does not meet the Net worth criteria on its own, it can meet the requirements of Net worth based on the strength of its **Subsidiary(ies) and/or Holding Company and/or Subsidiaries of the Holding Companies**, wherever applicable. In such a case, however the Net worth of the Bidder and its Subsidiary(ies) and/or Holding Company and/or Subsidiaries of the Holding Companies, in combined manner should not be less than 100% of their total paid up share capital. However individually, their Net worth should not be less than 75% of their respective paid-up share capitals.

**Net worth in combined manner shall be calculated as follows:**

Net worth (combined) =  $[(X1 + X2 + X3) / (Y1 + Y2 + Y3)] \times 100$

Where X1, X2, X3 are individual Net worth which should not be less than **75% (Seventy five percentage)** of the respective paid-up share capitals and Y1, Y2, Y3 are individual paid up share capitals.

**2.3** In case the bidder is not able to furnish **its audited financial statements on stand-alone entity basis**, the unaudited unconsolidated financial statements of the bidder can be considered acceptable provided the bidder further furnishes the following documents for substantiation of its qualification:

i) Copies of the unaudited unconsolidated financial statements of the bidder along with copies of the audited consolidated financial statements of the Holding Company.

ii) A certificate from the CEO/CFO of the Holding Company, as per the format enclosed in the bidding documents, stating that the unaudited unconsolidated financial statements form part of the Consolidated Annual Financial Statements of the Holding Company

**2.4** In cases where audited results for the last financial year as on the date of Techno-commercial bid opening are not available, the financial results certified by a practicing Chartered Accountant shall be considered acceptable. In case, Bidder is not able to submit the certificate from practicing Chartered Accountant certifying its financial parameters, the audited results for the three (03) consecutive financial years preceding the last financial year shall be considered for evaluating the financial parameters. Further, a certificate would be required from CEO/CFO as per the format enclosed in the bidding document stating that the financial results of the company are under audit as on the date of Techno-commercial bid opening and the certificate from the practicing Chartered Accountant certifying financial parameters is not available.

**3.0 Notes for Clause 2.0 above:**

- (i) **Net worth means** the sum total of the paid-up share capital and free reserves. Free reserve means all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus.
- (ii) **Other income** shall not be considered for arriving at annual turnover figures.
- (iii) **“Holding Company”** and **“Subsidiary Company”** shall have the meaning ascribed to them as per Companies Act of India.

**4.0** In case, a bidder has been considered pre-qualified for the 'Execution Capability' & 'Average Annual Turnover (AATO)' of **“INR 40 Crs”**, based on **“Vendor Enlistment Circular AST-01 for ‘Transportation of Ash from NTPC Plants to Various Destinations’** in the past and above communication has been issued to the bidder before submission of Techno-Commercial bids, such agency shall not be required to submit any details/ documents pertaining to reference plants/ works in support of fulfilment of Qualification Requirements.

However, in case, a bidder has been pre-qualified for a **lower value** of either 'Execution Capability or Average Annual turnover(AATO)' of **“INR 40 Crs”** or whose validity period of NTPC enlistment has lapsed, then such bidder has to necessarily submit the relevant documents viz. Purchase Orders/Work Orders/Letter of Award/Rate Contract, Completion Certificate, financial documents etc along with the Bid in support of fulfilment of Qualification Requirements.

The bidder who has not been enlisted in the **“Vendor Enlistment Circular AST-01 for ‘Transportation of Ash from NTPC Plants to Various Destinations’**, then such bidder also has to necessarily submit the relevant documents viz. Purchase Orders/Work Orders/Letter of Award/Rate Contract, Completion Certificate, financial documents etc along with the Bid in support of fulfilment of Qualification Requirements.

- VI. Any Certificate(s) / Financial Statement(s) / Audited Balance Sheet and P&L Account (wherever applicable) undertaken/ signed by a Member of Institute of Chartered Accountant of India (ICAI), which Bidders submit in support of compliance to Qualifying Requirements (QR), will carry Unique Document Identification Number (UDIN) generated in line with the Gazette Notification of Council of Institute of Chartered Accountant of India (ICAI).
- VII. The reference works whose details have been declared in their bid shall only be considered to ascertain the bidder's compliance to the specified qualifying Requirement (QR).  
  
The Employer at its discretion may seek any clarification and/or documentary evidence only for the reference works as mentioned above. However, no change or substitution of the reference works by new/additional plant for conforming to the specified qualifying Requirement shall be sought, offered or permitted.
- VIII. Notwithstanding anything stated above, the Employer reserves the right to assess the capabilities and capacity of the Bidder/ his collaborators / associates/ subsidiaries / group companies to perform the contract, should the circumstances warrant such assessment in the overall interest of the Employer.
- IX. 'Class-I local suppliers' only are eligible to participate in this tender, as defined in the bidding documents/ Public Procurement (Preference to Make in India), Order 2017 and its subsequent amendments/ revisions issued by DPIIT. **The bidders may apprise themselves of the relevant provisions of bidding documents in this regard before submission of their bids.**
- X. Any 'Bidder from a country which shares a land border with India', as specified in the Bidding Documents, will be eligible to bid in this tender only if bidder is registered with the Competent Authority as mentioned in the Bidding

## Documents.

However, the said requirement of registration will not apply to bidders from those countries (even if sharing a land border with India) to which the Government of India has extended lines of credit or in which the Government of India is engaged in development projects.

XI. NTPC reserves the right to reject any or all bids or cancel/withdraw the NIT for the subject package without assigning any reason whatsoever and in such case no bidder/intending bidder shall have any claim arising out of such action.

XII. The bidding document is available online. A complete set of Bidding Documents may be downloaded by any interested Bidder from the Gem Portal <https://gem.gov.in>. The Bidder would be required to register on the website.

First time users are required to register themselves on GeM Portal only. First time users not allotted any vendor code by NTPC, should send a copy of the registration details to the e-mail address specified in this NIT under Address for Communication with following details at least three working days prior to Technical Opening Date:

- i. Request on the letter head of the Company
- ii. Address Proof
- iii. Copy of GST Registration Certificate & PAN Card,
- iv. Email ID and Contact No.
- v. Name and Designation of the contact person
- vi. Cancelled cheque & E.F.T form duly verified by bank Note:

***No Hard Copy of bidding documents shall be issued.***

XIII. **Address for Communication**

**DGM / AGM (CPG-I)**

NTPC Limited, Central Procurement Group-I,  
NTPC WR-II office, Sector-24,  
Nava Raipur, Chhattisgarh - 492018  
Email: [pankajkumar07@ntpc.co.in](mailto:pankajkumar07@ntpc.co.in) / [gauravsaraswat@ntpc.co.in](mailto:gauravsaraswat@ntpc.co.in)  
Phone: 0771-2515568/0771-2515220

**Websites:** <https://gem.gov.in>, [www.ntpctender.ntpc.co.in](http://www.ntpctender.ntpc.co.in) or [www.ntpc.co.in](http://www.ntpc.co.in)

XIV. **Registered Office:**

NTPC Limited  
NTPC Bhawan, SCOPE Complex,  
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New Delhi – 110003  
Corporate Identification Number: L40101DL1975GOI007966. Website: [www.ntpc.co.in](http://www.ntpc.co.in)

